

# Introduction to Modern Physics

Two pillars of modern physics: quantum mechanics (QM) and relativity

both → generalization of classical physics,  
include classical laws as special cases

a) relativity → extends the range of application of physical laws to high velocities

universal constant: speed of light  $[c]$

a) QM → extends that range to the region of small dimensions

universal constant: Planck's constant  $[h]$ ,  $\hbar = h/2\pi$

We start with the milestones that led to modern quantum mech.

Several phenomena in contradiction with classical laws

↳ solving conflicts needed introduction of quantum ideas

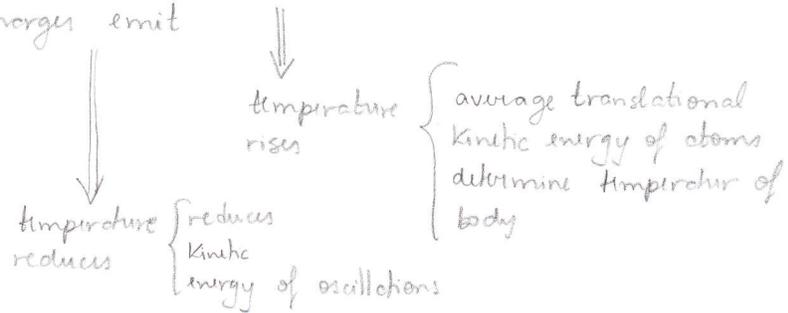
Ex: discreteness of energy

↗  
concept introduced  
by Planck when  
studying thermal radiation

## Thermal radiation

o) radiation falls on object  $\begin{cases} \text{part reflected (more on light-colored bodies)} \\ \text{part absorbed (more on dark bodies)} \end{cases}$

o) radiation absorbed  $\Rightarrow$  increases kinetic energy of atoms  $\Rightarrow$  oscillate  $\Rightarrow$   
 $\Rightarrow$  oscillating/accelerated charges emit



good absorber  $\rightarrow$  good emitter

o) When rate of absorption = rate of emission  $\Rightarrow$  constant temperature  
 body in thermal equilibrium

$\hookrightarrow$  electromagnetic radiation emitted called thermal radiation

At ordinary temperatures ( $< 600^\circ\text{C}$ ): thermal radiation NOT visible  
 large  $\lambda$ , short  $\nu$   
 object seen by reflected light

$600^\circ\text{C} - 700^\circ\text{C}$ : enough energy in visible spectrum, object glows

$\rightarrow$  higher  $T \rightarrow$  body emits more,  $\nu$  becomes higher

Detailed form of the spectrum of the thermal radiation emitted dep. on composition  
 but for a

black body it is universal and depends only on the temperature

$\hookrightarrow$  ideal body that absorbs all thermal radiation  
 incident upon it

$\rightarrow$  all blackbodies at the same temperature emit thermal radiation with the same spectrum.

Specific form of a blackbody spectrum CANNOT be understood on the basis of classical arguments

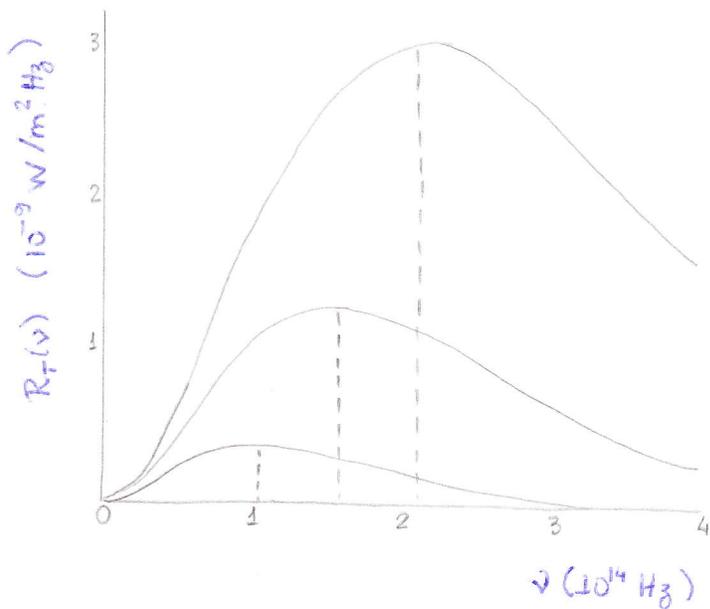
Spectral distribution of blackbody radiation

$R_T(\nu)$  = spectral radiance  $\left\{ \begin{array}{l} \text{energy emitted per unit time, per unit area} \\ \text{per unit frequency} \rightarrow \frac{J}{s \cdot m^2 \cdot Hz} = \frac{W}{m^2 \cdot Hz} \end{array} \right.$

$R_T(\nu) d\nu$  = energy emitted per unit time in radiation of (power)  $\rightarrow$   $\textcircled{W}$

frequency in the interval  $\nu$  to  $\nu + d\nu$  per unit area of the surface at temperature  $T$

experiments:



(1) power decreases as  $\nu \rightarrow 0$ ,  $\nu \rightarrow \infty$

(2) frequency at which radiated power is most intense increases with temperature

$\boxed{\nu_{max} \propto T}$  Wien's  $\textcircled{*}$  displacement law

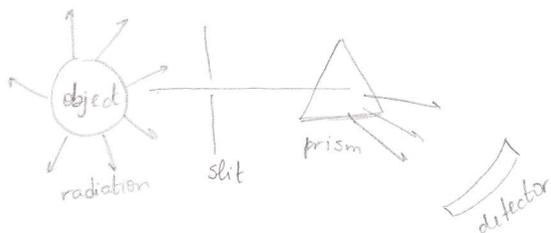
(3) total power radiated in all frequencies increases with temperature

$R_T = \int_0^{\infty} R_T(\nu) d\nu$  (area under the curve)

$\uparrow$  radiance =  $\frac{\text{flux of energy}}{\text{intensity}} = \frac{\text{energy per unit time per unit area}}{\text{area}} \left( \frac{J}{m^2 \cdot s} = \frac{W}{m^2} \right)$

$\boxed{R_T = \sigma T^4}$  Stefan's law

$\sigma = 5.67 \times 10^{-8} \text{ W/m}^2 \text{K}^4$  (Stefan-Boltzmann const)



$\textcircled{*}$  Obs:  $\lambda \nu = c \Rightarrow \lambda_{max} T = 2.898 \times 10^{-3} \text{ m K}$   $\leftarrow$  Wien's constant

Question

Assume that stellar surfaces behave like blackbodies.

For the sun  $\lambda_{\max} = 5100 \text{ \AA}$ , whereas for the North Star  $\lambda_{\max} = 3500 \text{ \AA}$

Find the surface temperature of these stars

( $1 \text{ \AA} = 10^{-10} \text{ m}$ )

$$\lambda_{\max} T = 2.898 \times 10^{-3} \text{ m.K}$$

$$T_{\text{sun}} = \frac{2.898 \times 10^{-3}}{5100 \times 10^{-10}} = \boxed{5700 \text{ K}}$$

$$T_{\text{North star}} = \frac{2.898 \times 10^{-3}}{3500 \times 10^{-10}} = \boxed{8300 \text{ K}}$$

Question

Measurement of  $\lambda_{\max}$  from a certain star indicates  $T = 3000 \text{ K}$ .

If the star radiates 100 times the power radiated by the sun, how big is the star? Sun surface temperature =  $5800 \text{ K}$

$$R_{\text{star}} = \frac{P_{\text{star}}}{\text{area}_{\text{star}}} = \frac{100 P_{\odot}}{4\pi r_{\text{star}}^2} = \sigma T_{\text{star}}^4 \Rightarrow 4\pi r_{\text{star}}^2 = \frac{100 P_{\odot}}{\sigma T_{\text{star}}^4} \quad \left. \vphantom{R_{\text{star}}} \right\} \Rightarrow$$

$$R_{\odot} = \frac{P_{\odot}}{\text{area}_{\odot}} = \frac{P_{\odot}}{4\pi r_{\odot}^2} = \sigma T_{\odot}^4 \Rightarrow P_{\odot} = \sigma T_{\odot}^4 (4\pi r_{\odot}^2)$$

$$\Rightarrow 4\pi r_{\text{star}}^2 = \frac{100 \sigma T_{\odot}^4 (4\pi r_{\odot}^2)}{\sigma T_{\text{star}}^4} \Rightarrow r_{\text{star}} = 10 r_{\odot} \left( \frac{T_{\odot}}{T_{\text{star}}} \right)^2$$

$$r_{\text{star}} = 10 \left( \frac{5800}{3000} \right)^2 r_{\odot} \Rightarrow \boxed{r_{\text{star}} = 37.4 r_{\odot}}$$

CLASSICAL theory of cavity radiation

Example of blackbody: a cavity in a body connected by a small hole to the outside



(lab blackbody)

energy density  $\rho_T(\nu)$  = energy contained in a unit volume of the cavity at temperature T

(standing waves)

$\rho_T(\nu) \propto R_T(\nu)$   
 → [u in Tipler]

Energy per unit volume in the frequency interval  $\nu$  to  $\nu+d\nu$

Rayleigh and Jeans

$\rho_T(\nu) d\nu = \bar{\epsilon} \frac{N(\nu) d\nu}{V}$

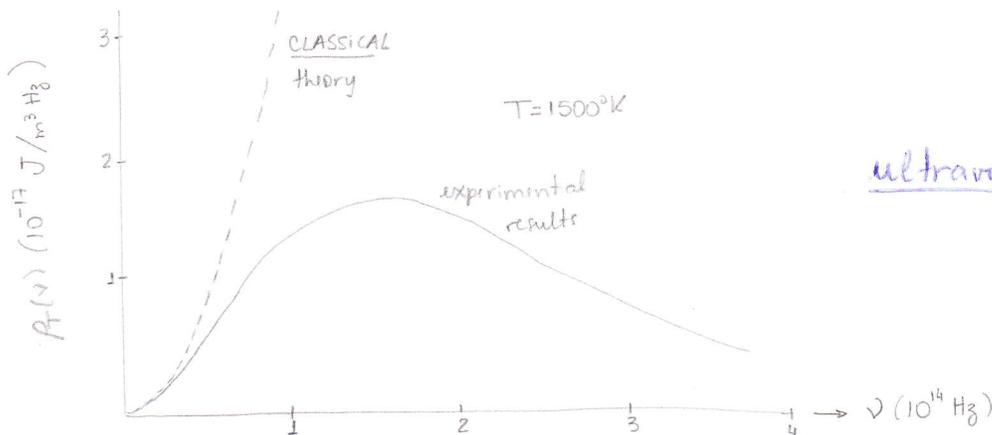
Annotations:  
 -  $\bar{\epsilon}$ : average energy of each standing wave in cavity  
 -  $N(\nu) d\nu$ : number of standing waves in the frequency interval  $\nu$  to  $\nu+d\nu$   
 -  $V$ : volume

$N(\nu) d\nu = \frac{8\pi V}{c^3} \nu^2 d\nu$  ← derivation from geometrical arguments (see Eisberg's book)

Classical equipartition law  $\bar{\epsilon} = kT$

$\rho_T(\nu) d\nu = \frac{8\pi \nu^2 kT}{c^3} d\nu$

Rayleigh-Jeans formula for black body radiation



ultraviolet catastrophe

# Classical equipartition law

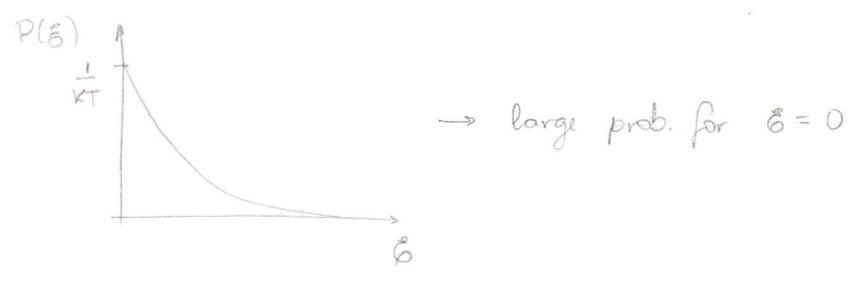
## Boltzmann distribution (appendix C - Eisberg's book)

$P(\epsilon) = A e^{-\epsilon/kT}$   
 $\int_0^{\infty} P(\epsilon) d\epsilon = 1 \Rightarrow A = \frac{1}{kT}$   
 $P(\epsilon) = \frac{e^{-\epsilon/kT}}{kT}$

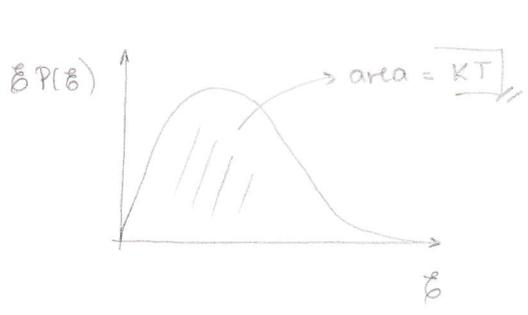
→ fraction of oscillators with energy  $\epsilon$   
 → normalization → guarantees that  $\int_0^{\infty} P(\epsilon) d\epsilon = 1$   
 (standing wave, ...)

$P(\epsilon) d\epsilon$ : probability of finding an entity of the system with energy in  $[\epsilon, \epsilon + d\epsilon]$

↳ system with large number of entities in thermal equilibrium at T



average energy.  $\bar{\epsilon} = \int_0^{\infty} \epsilon P(\epsilon) d\epsilon$



$$\int_0^{\infty} \frac{\epsilon e^{-\epsilon/kT}}{kT} d\epsilon =$$

by parts

$$u = \epsilon \quad dv = e^{-\epsilon/kT} d\epsilon$$

$$du = d\epsilon \quad v = e^{-\epsilon/kT} (-kT)$$

$$= \frac{1}{kT} \left[ \cancel{\epsilon e^{-\epsilon/kT}} (-kT) \Big|_0^{\infty} - \int_0^{\infty} e^{-\epsilon/kT} (-kT) d\epsilon \right] =$$

$$= \int_0^{\infty} e^{-\epsilon/kT} d\epsilon = e^{-\epsilon/kT} (-kT) \Big|_0^{\infty} = \boxed{kT}$$

↳ Integration over ALL possible energies

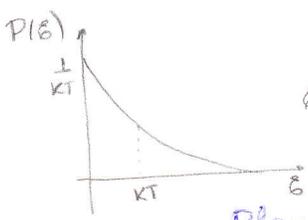
↳ here  $\epsilon$  is a CONTINUOUS variable

Planck's theory of cavity radiation  $P_T(\nu) d\nu = \frac{8\pi\nu^2}{c^3} \bar{\epsilon} d\nu$



$$\begin{aligned} \bar{\epsilon} &\xrightarrow{\nu \rightarrow 0} kT \\ \bar{\epsilon} &\xrightarrow{\nu \rightarrow \infty} 0 \end{aligned}$$

to avoid discrepancy with experimental results, energy needs to be a function of  $\nu$



$\epsilon \gg kT$  little contribution to  $\bar{\epsilon}$

$\epsilon = nh\nu$  DISCRETE

Planck's constant

$h = 6.63 \times 10^{-34} \text{ J s}$

determined by best fit of theory with experiment

$\epsilon = nh\nu$   $n = 0, 1, 2, 3, \dots$   $\rightarrow$  energy is discrete / QUANTIZED

normalization  $\bar{\epsilon} = \sum \epsilon A e^{-\epsilon/kT}$   $\sum A e^{-\epsilon/kT} = 1$   
 $\Rightarrow A = \frac{1}{\sum e^{-nh\nu/kT}}$   
 $\bar{\epsilon} = \frac{\sum_{n=0}^{\infty} nh\nu e^{-nh\nu/kT}}{\sum_{n=0}^{\infty} e^{-nh\nu/kT}} (kT)$

One way to solve

$$\sum_{n=0}^{\infty} e^{-nx} = 1 + e^{-x} + e^{-2x} + \dots = \frac{1}{(1-e^{-x})}$$

$$\sum_{n=0}^{\infty} nx e^{-nx} = x e^{-x} + 2x e^{-2x} + 3x e^{-3x} + \dots$$

$$= x e^{-x} (1 + e^{-x} + e^{-2x} + \dots) + x e^{-2x} (1 + e^{-x} + \dots) + x e^{-3x} (1 + \dots)$$

$$= \frac{1}{(1-e^{-x})} x e^{-x} (1 + e^{-x} + e^{-2x} + \dots) = \frac{x e^{-x}}{(1-e^{-x})^2}$$

Another way to solve (Eisberg)

Note:

$$\frac{\sum_{n=0}^{\infty} nx e^{-nx}}{\sum_{n=0}^{\infty} e^{-nx}} = -x \frac{d}{dx} \ln \sum_{n=0}^{\infty} e^{-nx}$$

$$= -x \frac{\frac{d}{dx} \sum_{n=0}^{\infty} e^{-nx}}{\sum_{n=0}^{\infty} e^{-nx}} = - \frac{\sum_{n=0}^{\infty} x \frac{d}{dx} (e^{-nx})}{\sum_{n=0}^{\infty} e^{-nx}} = \frac{\sum nx e^{-nx}}{\sum e^{-nx}}$$

$$-x \frac{d}{dx} \ln \sum_{n=0}^{\infty} e^{-nx} = -x \frac{d}{dx} \ln \left( \frac{1}{1-e^{-x}} \right)$$

$$= -x (1-e^{-x}) \frac{(-1)}{(1-e^{-x})^2} e^{-x} = \frac{x e^{-x}}{(1-e^{-x})^2}$$

$\Rightarrow \bar{\epsilon} = kT \left( \frac{h\nu}{kT} \right) \frac{e^{-h\nu/kT}}{(1-e^{-h\nu/kT})}$   
 $x = h\nu/kT$

$\bar{\epsilon} = \frac{h\nu}{e^{h\nu/kT} - 1}$

$$\bar{E}(\nu) = \frac{h\nu}{e^{h\nu/kT} - 1} \quad \left\{ \begin{array}{l} \nu \rightarrow 0 \Rightarrow \bar{E} \rightarrow \frac{h\nu}{1 + \frac{h\nu}{kT} + \dots} \rightarrow kT \\ \nu \rightarrow \infty \Rightarrow \bar{E} \rightarrow h\nu e^{-h\nu/kT} \rightarrow 0 \end{array} \right.$$

$$P_T(\nu) d\nu = \frac{8\pi\nu^2}{c^3} \frac{h\nu}{e^{h\nu/kT} - 1} d\nu$$

Planck's blackbody spectrum

Planck's blackbody spectrum in terms of  $\lambda$

$$c = \lambda\nu \Rightarrow \nu = \frac{c}{\lambda} \Rightarrow \frac{d\nu}{d\lambda} = -\frac{c}{\lambda^2} \Rightarrow d\nu = -\frac{c}{\lambda^2} d\lambda$$

$$P_T(\lambda) d\lambda = \ominus P_T(\nu) d\nu \quad \left\{ \begin{array}{l} d\lambda, d\nu \text{ have opposite sign} \\ \text{increase in } \nu \rightarrow \text{decrease in } \lambda \end{array} \right.$$

$$P_T(\lambda) d\lambda = \frac{c}{\lambda^2} P_T(\nu) d\lambda = \frac{c}{\lambda^2} \frac{8\pi}{c^3} \frac{c^2}{\lambda^2} \frac{hc/\lambda}{e^{hc/\lambda kT} - 1} d\lambda$$

$$P_T(\lambda) d\lambda = \frac{8\pi hc}{\lambda^5} \frac{d\lambda}{e^{hc/\lambda kT} - 1}$$

HW  
Tipler: 3-12, 13, 14, 15, 16, 17, 20

Question: Show that the total energy density in a blackbody cavity is proportional to  $T^4$  in accordance with Stefan-Boltzmann law

$$U = \int_0^\infty \rho(\nu) d\nu = \frac{8\pi h}{c^3} \int_0^\infty \frac{\nu^3}{e^{h\nu/kT} - 1} d\nu \quad \begin{array}{l} x = \frac{h\nu}{kT} \\ dx = \frac{h}{kT} d\nu \end{array}$$

$$U = \frac{8\pi h}{c^3} \left(\frac{kT}{h}\right)^3 \int_0^\infty \frac{x^3}{e^x - 1} \left(\frac{kT}{h}\right) dx$$

$$U = \frac{8\pi}{c^3 h^3} (kT)^4 \int_0^\infty \frac{x^3}{e^x - 1} dx \Rightarrow U \propto T^4$$

(dimensionless) =  $\pi^4/15$

to find  $U$  in terms of  $h, c, k$   
 $U = \frac{8\pi^5 k^4 T^4}{15 h^3 c^3}$  and  $R = \frac{c}{4} U$

1) Blackbody radiation  $\rightarrow$  discrete / quantized

$\rightarrow$  energy is DISCRETE

(Planck 1900)

Big Bang  
 $T = 2.725 \pm 0.002 \text{ K}$

2) Electric Charge is DISCRETE

Greek

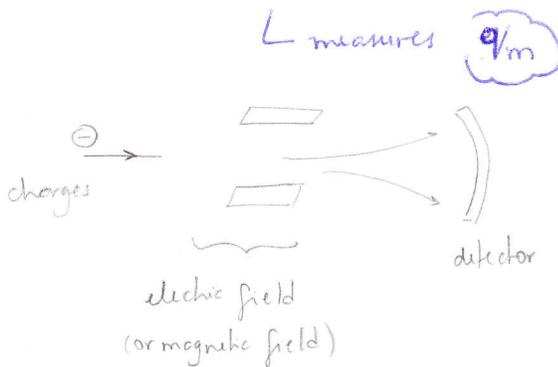
$\rightarrow$  Democritus (450 B.C.) - matter is composed of atoms  
(tiny particles)

$\rightarrow$  Avogadro (1811) - all gases at T: same # of molecules per unit volume (NA)

$\rightarrow$  development of Kinetic theory (1900) - acceptance of molecular theory of matter

matter is quantized

$\rightarrow$  J.J. Thomson's experiment (1897)



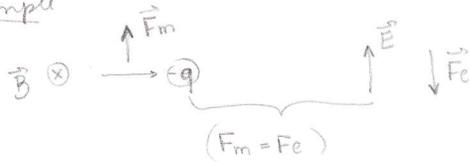
3) Newton's 2nd law

$$\underbrace{qvB}_{\text{magnetic force}} = m \underbrace{\frac{v^2}{R}}_{\text{centripetal acceleration}} \Rightarrow \boxed{\frac{q}{m} = \frac{v}{RB}}$$

4) to determine  $v$   
adjust  $\perp$  B and E fields  
 $\rightarrow$  particle undeflected

$$qvB = qE \Rightarrow \boxed{v = \frac{E}{B}}$$

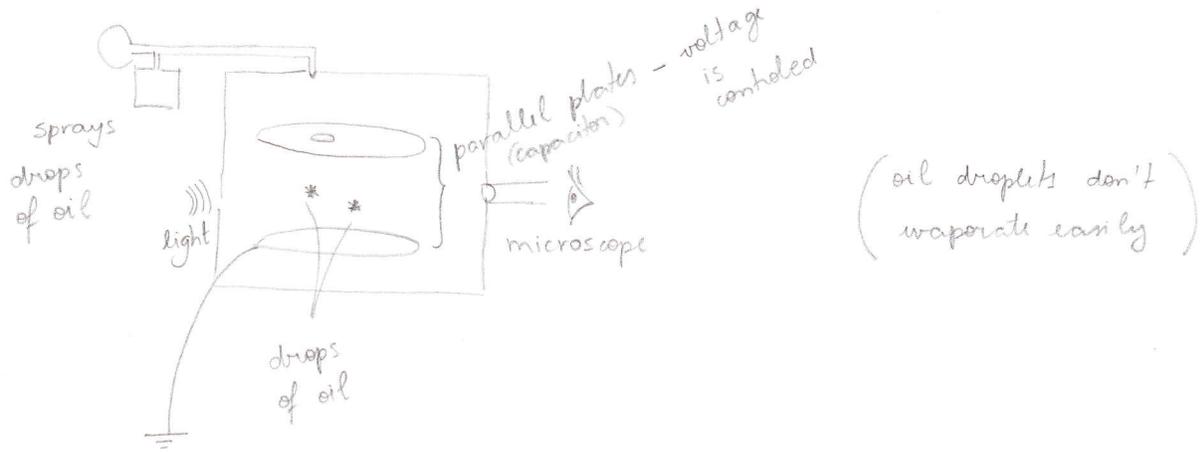
Example



$\rightarrow$  Millikan's experiment (1909)

$\rightarrow$  measures  $e$

# Millikan's experiment



We verify that the charge of each droplet is an integer number times the electron charge  
 (electric charge is quantized)

Assumption: oil-drops = spherical droplets of const m

↳ gravitational force  $F_g = mg = \frac{4}{3} \pi r^3 \rho g$

$\rho$  ← density  
 $r$  ← radius

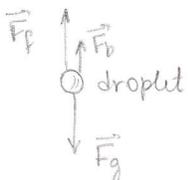
1st step: (find  $r$ )  $\vec{E} = 0$ , a const velocity of fall is reached when

correction!  $F_g = F_f$  ← friction force given by Stoke's law

$F_f = 6\pi r \eta v$  ← viscosity of air, speed of droplet

BUT, because of the buoyant force  $F_b = m_f g = \frac{4}{3} \pi r^3 \rho_{air} g$

↳ mass of the fluid displaced



$$F_g - F_b = F_f \Rightarrow \frac{4}{3} \pi r^3 g (\rho - \rho_{air}) = 6\pi r \eta v_s$$

$$r^2 = \frac{9 \eta v_s}{2g(\rho - \rho_{air})}$$

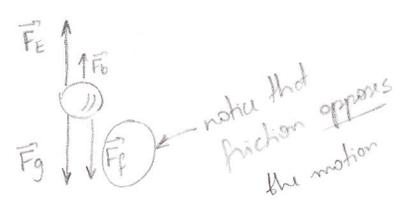
2nd step  
(find q)

$\vec{E} \neq 0$ , drop rises

$E = \frac{V}{d}$

we have control of the voltage  
 $d \leftarrow$  distance between plates

$F_E = qE$



Drop reaches const velocity when

$F_E + F_b = F_g + F_f$

$qE = \underbrace{(F_g - F_b)}_{\substack{6\pi r \eta v_1 \\ \text{from 1st step}}} + \underbrace{F_f}_{6\pi r \eta v_2}$

$$q = \frac{6\pi r \eta (v_1 + v_2)}{E}$$

HW (Tipler: 3.1, 3.2, 3.3, 3.4, 3.8, 3.11)

Photoelectric effect:

ejection of electrons from a surface by the action of light

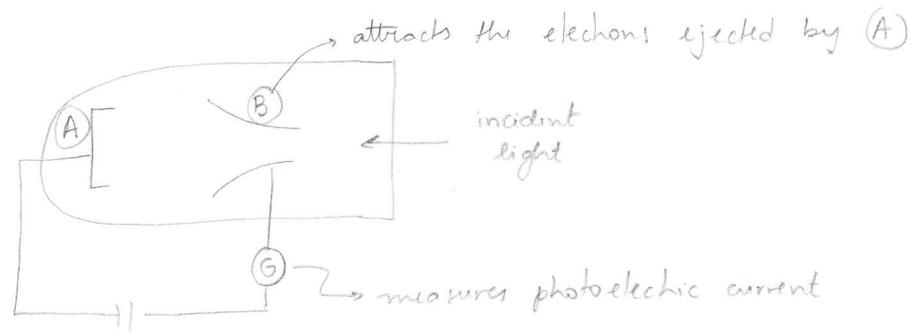
(solar cells)

Hertz (1886/1887) : studies of electromagnetic waves

Maxwell's electromagnetic theory of light propagation

↳ noticed electric discharge between electrodes for UV (✓)

↑  
Lenard continued studies

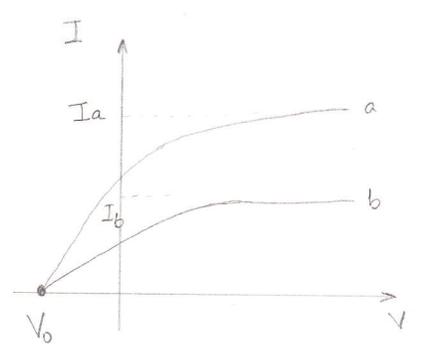


↳ potential difference may be varied

Observations

→) V large : current reaches a limiting value / saturates  
all photo-electrons ejected from A are collected by B

→) sign of V is reversed : current does not drop immediately ⇒  
⇒ e<sup>-</sup> from A are emitted with kinetic energy



↳ only for large value of reversed of the potential difference  $V_0$  will the current drop to zero

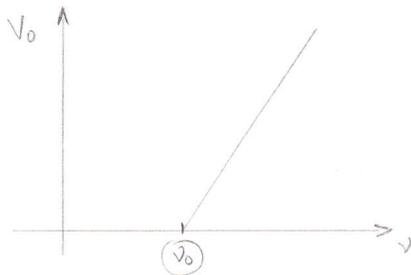
$$K_{\max} = e V_0$$

↳ kinetic energy of the fastest  $e^-$

- ! 1st surprise:  $V_0$  (and therefore  $K_{\max}$ ) is independent of the intensity of the light  
(compare curves (a) and (b))

{ from classical/wave theory we would expect  $K_{\max}$  to increase with  $I$ ,  
since  $I$  increases  $\Rightarrow |\vec{E}|$  increases  $\Rightarrow$  force  $eE$  applied on  $e^-$  should increase

- ! 2nd surprise:



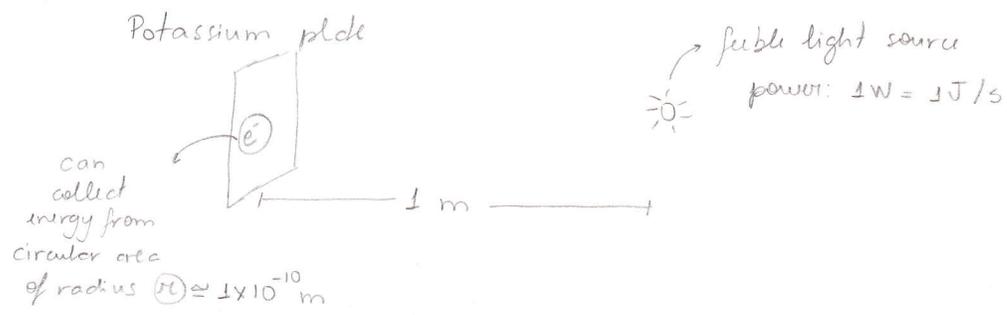
there is a cutoff frequency ( $\nu_0$ )  
below which no photoelectric effect occurs, no matter how intense the radiation

{ but from classical theory we would expect that the effect should occur for any  $\nu$  if light was intense enough

- ! 3rd surprise: there is no detectable time lag

{ from classical theory, if the light is feeble there should be a time lag between the moment light starts to impinge on the surface and the ejection of the  $e^-$   
 $e^-$  would need a time to accumulate enough energy to escape

Example



Energy to remove the  $e^- = 2.1 eV = 3.4 \times 10^{-19} J$   
(work function)

$(1eV = 1.60 \times 10^{-19} J$  is the energy gained by an  $e^-$  when it accelerates through a potential difference of  $1 V$ )

Obs

$V = Ed$  (potential difference)  
 $U = qV$  (potential energy)

According to classical theory  
how long would it take for the target to absorb enough energy from the source?

target area:  $\pi r^2 = \pi \times 10^{-2} m^2$

area of sphere centered at source:  $4\pi (1m)^2$

power =  $1W$  for  $4\pi m^2$   
 $\propto$  for  $\pi \times 10^{-2} m^2$

$\chi = \frac{1 \frac{J}{s}}{4\pi m^2} \times \pi \times 10^{-2} m^2 = 2.5 \times 10^{-21} J/s$

power absorbed by target

$1s \rightarrow 2.5 \times 10^{-21} J$   
 $\chi \rightarrow 3.4 \times 10^{-19} J$

$\chi = \frac{3.4 \times 10^{-19} J (1s)}{2.5 \times 10^{-21} J} = 1.4 \times 10^2 s \approx \underline{\underline{2 min}}$

## Einstein's quantum theory of the photoelectric effect

Planck: energy quantization for blackbody

Einstein: extended the idea  $\rightarrow$  energy is quantized into lumps  $\rightarrow$  photons

$$\underline{E = h\nu} \rightarrow \text{energy of photon}$$

He assumed:  $\left\{ \begin{array}{l} \text{In the photoelectric process, one photon is completely} \\ \text{absorbed by one electron} \end{array} \right.$

$e^-$  emitted with kinetic energy:  $\boxed{K = h\nu - w}$

$\hookrightarrow$  work to remove  $e^-$  from metal  
 $\hookrightarrow$  energy of the absorbed incident photon

for the loosest binding

$$\boxed{K_{\max} = h\nu - w_0}$$

$\hookrightarrow$  characteristic of metal  
 minimum energy for  $e^-$  to escape

### Conclusions:

1)  $K_{\max}$  has no dependence on  $I$

(increasing  $I \Rightarrow$  only increases # of photons and current;

$\hookrightarrow$  it DOES NOT change energy  $h\nu$  of photon

2) cutoff is justified

$$K_{\max} = 0 \Rightarrow \boxed{h\nu_0 = w_0}$$

$\nu < \nu_0 \Rightarrow$  no matter  
 how many photons,  
 how intense the source  
 $\Rightarrow$  NO ejection

3) energy of photon is concentrated and NOT spread

photon hits surface / is immediately absorbed  
 $e^-$  is " emitted

$$\left. \begin{array}{l} K_{\max} = eV_0 \\ K_{\max} = h\nu - \omega_0 \end{array} \right\} \quad \boxed{V_0 = \frac{h}{e} \nu - \frac{\omega_0}{e}}$$

$\downarrow$   
 linear relationship between  $V_0$  and  $\nu$   
 (agrees with experiments)

$\swarrow$   
 stopping potential

$\swarrow$   
 Einstein

slope of experimental curve  $\Rightarrow \frac{h}{e} = 4.0 \times 10^{-15} \text{ V.s}$

$$\left. \begin{array}{l} \frac{h}{e} = 4.0 \times 10^{-15} \text{ V.s} \\ 1V = \frac{1J}{C} \end{array} \right\} \quad \boxed{h = 6.6262 \times 10^{-34} \text{ J.s}}$$

which agrees with  $h$  derived from Planck's radiation formula (!)

(Numerical agreement of  $h$  for 2 different phenomena)

Exercise:  $\lambda$  for visible light 380 nm — 750 nm

a) what is the range of photon energies (in eV) in visible light?

$$1 \text{ nm} = 10^{-9} \text{ m}$$

$$c = \lambda \nu$$

$$1 \text{ eV} = 1.60 \times 10^{-19} \text{ J}$$

$$E = h\nu = \frac{hc}{\lambda} = \frac{6.6262 \times 10^{-34} \text{ (J.s)} \cdot 3 \times 10^8 \text{ (m/s)}}{\lambda}$$

$$E = \frac{6.6262 \times 10^{-34} \text{ (eV.s)} \cdot 3 \times 10^8 \text{ (nm/s)} \cdot \frac{1}{\lambda}}{1.60 \times 10^{-19}}$$

$$\boxed{E = \frac{1242 \text{ (eV.nm)}}{\lambda}}$$

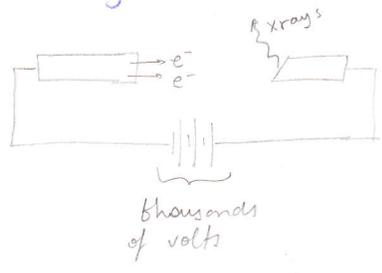
$$\lambda = 380 \text{ nm} \Rightarrow E = 3.27 \text{ eV}$$

$$\lambda = 750 \text{ nm} \Rightarrow E = 1.66 \text{ eV}$$

Hw: 3.26, 3.28, 3.30

# X-Rays

W. K. Roentgen (1895)



X rays

- no deflection in  $\vec{B}$
- was not able to see diffraction, interference
- most materials - transparent to it
  - decreases with material density

found medical application (activate photographic film)

hard to see diffraction (bending of waves caused by obstacles) because  $\lambda = 1 \text{ \AA}$

↳ need slit  $\sim 1 \text{ \AA}$

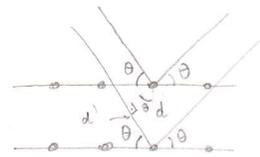
↳ crystal (array of atoms separated  $\sim 1 \text{ \AA}$ )  $\Rightarrow$  diffraction

confirmed that X rays are electromagnetic waves

Bragg (1912)

↳ use of X rays to determine the structure of crystals

- treat atomic planes as reflecting mirrors
- assume  $\angle$  of incidence =  $\angle$  of reflection



$\sin \theta = \frac{d'}{d}$  path difference:  $\Delta = 2d' = 2d \sin \theta$

interference is constructive if  $\Delta = n\lambda$   $n = 1, 2, 3, \dots$

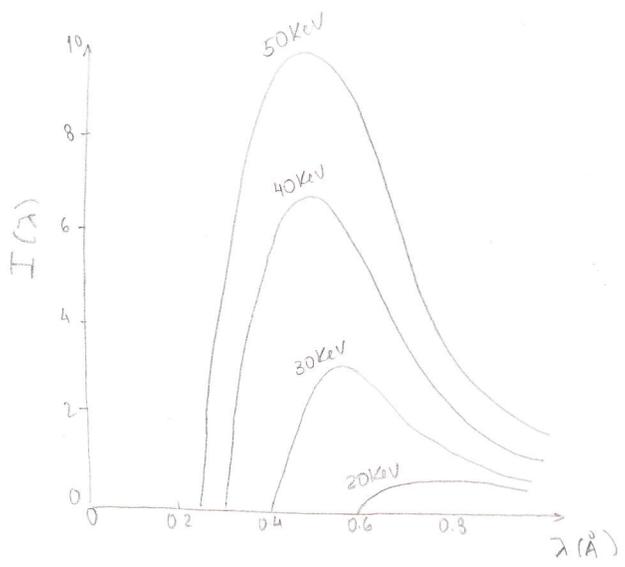
**$2d \sin \theta = n\lambda$**  Bragg's law

$\lambda$  is known,  $\theta$  from experiment  $\Rightarrow$  can obtain the interplanar distance  $d$



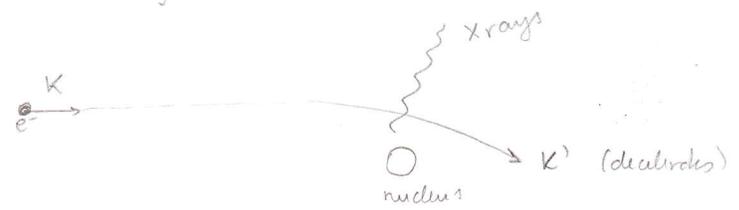
# Study of the X-ray spectrum

↳ depends on the accelerating voltage and material



(1) continuous X radiation

bremstrahlung  
decelerating radiation



(2) sharp lines (NOT shown)

(3) cutoff  $\lambda_{min}$

↳ indep of material

dep on energy of  $e^-$  / the potential

$$h\nu = K - K'$$

{ an inverse photoelectric effect

↓ photon absorbed / E, p go to  $e^-$

$$\frac{hc}{\lambda} = K - K'$$

bremstrahlung: photon created / E, p from  $e^-$  colliding with nucleus

$$K' = 0 \Rightarrow \boxed{K = \frac{hc}{\lambda_{min}}}$$

(electron loses all its kinetic energy)

$$K = eV \Rightarrow eV = \frac{hc}{\lambda_{min}} \Rightarrow \boxed{\lambda_{min} = \frac{hc}{e} \frac{1}{V}}$$

voltage

Exercise: Determine  $h$  from the fact that  $\lambda_{min} = 3.11 \times 10^{-11} m$  for 400 KeV electrons.

$$K = h\nu = \frac{hc}{\lambda} \Rightarrow h = \frac{K\lambda}{c}$$

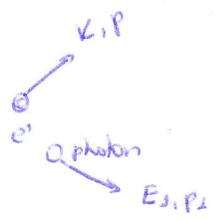
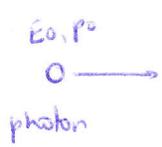
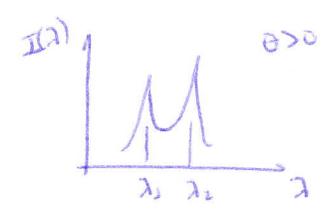
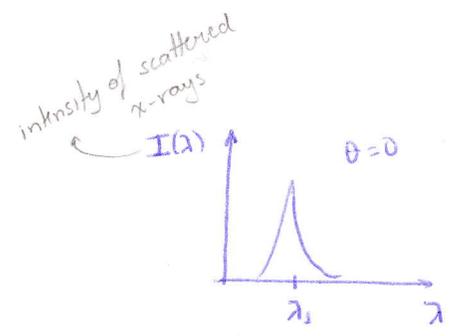
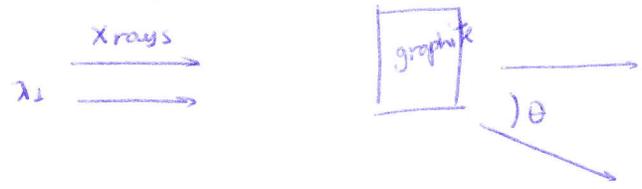
$$h = \frac{400 \times 10^3 eV \times 1.6 \times 10^{-19} J \times 3.11 \times 10^{-11} m}{3 \times 10^8 m/s}$$

$$= \boxed{6.64 \times 10^{-34} J \cdot s}$$

$$\frac{1240 (eV) (nm)}{1.60 \times 10^{-19} C} \Rightarrow \frac{1240 \times 1.60 \times 10^{-19} J}{1.60 \times 10^{-19} C} (nm) = 1.24 \times 10^3 V \cdot nm$$

unit

# Compton Effect



incoming x-ray not a wave, but collection of photons with  $E = h\nu$ . They collide with free  $e^-$

$$\lambda_2 - \lambda_1 = \frac{h}{mc} (1 - \cos \theta)$$

0.00243 nm

$\Delta \lambda = \lambda_2 - \lambda_1 \rightarrow$  Compton shift

classically: oscillating  $\vec{E} \Rightarrow e^-$  oscillates at the same  $\nu \Rightarrow$  can only explain  $\lambda_1$

quantum effect

$\rightarrow$  explains  $\lambda_2$  (collision with free electrons) ( $\theta > 0$ )

$\lambda_1 \Rightarrow$  collision with electrons ( $\theta = 0$ ) strongly bound to atom (electron not ejected)

collision between photon and atom

HW  
3.34, 37, 38, 39

## Exercise (X rays from TV)

$e^-$  in TV:  $K = 25 \text{ KeV}$

$\lambda_{\min} = ? \quad h\nu = K - K' \Rightarrow \frac{hc}{\lambda_{\min}} = K \Rightarrow \lambda_{\min} = \frac{1240 \text{ eV} \cdot \text{nm}}{25 \times 10^3 \text{ eV}} = 0.050 \text{ nm}$

(TV with protection against it)

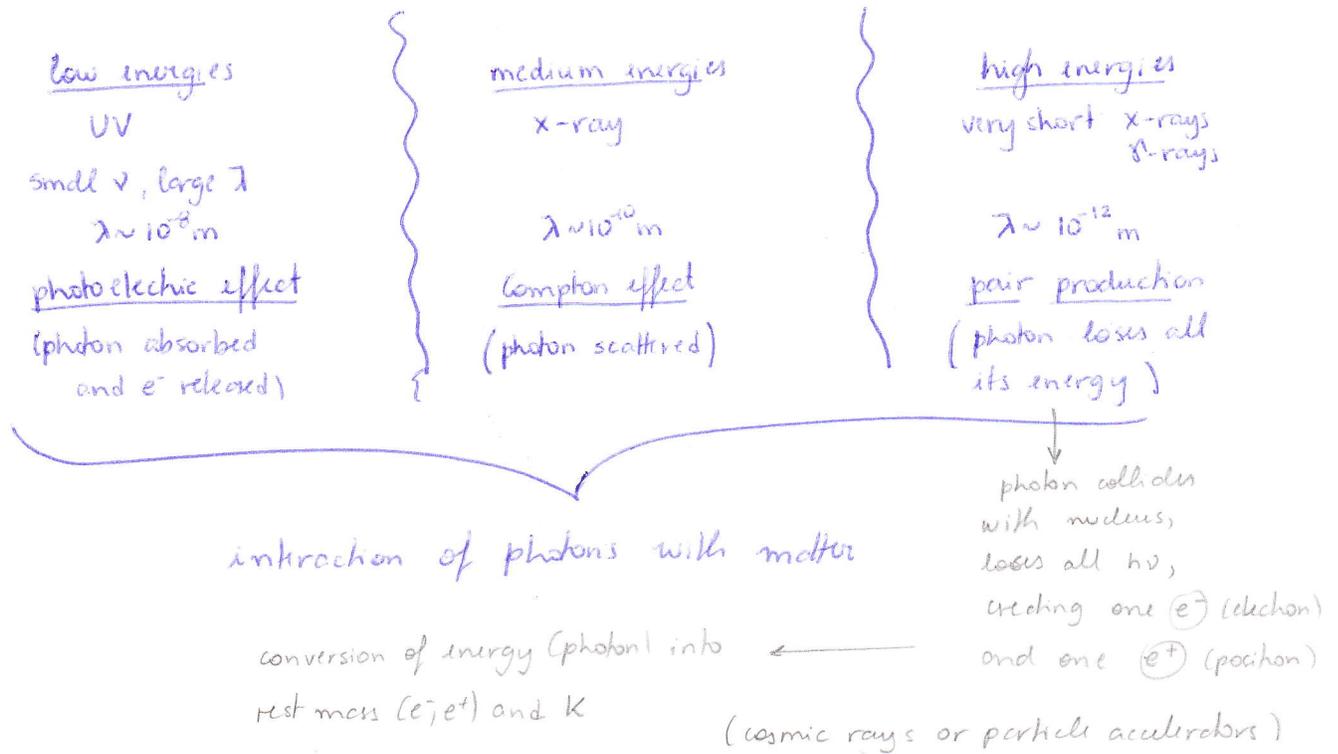
Exercise In Compton scattering we find that  $\lambda_1$  is shifted by 1.5 percent when  $\theta = 120^\circ$ .

- a)  $\lambda_1 = ?$       b) what is  $\lambda_2$  when  $\theta = 75^\circ$

a)  $\Delta \lambda = 1.5\% \lambda_1$

$\lambda_2 - \lambda_1 = 0.015 \lambda_1 = \frac{h}{mc} (1 - \cos 120) \Rightarrow \lambda_1 = \frac{0.00243 (1 - \cos 120)}{0.015} = 0.243 \text{ nm}$

b)  $\lambda_2 - 0.243 = \frac{h}{mc} (1 - \cos 75) \Rightarrow \lambda_2 = 0.245 \text{ nm}$



Proton and anti-proton can also be created for higher energy photons.

o) in interaction with matter:

radiation  $\rightarrow$  localized particles  
light  $\rightarrow$  photons

o) when propagating

radiation  $\rightarrow$  wave (diffraction, interference)  
light

wave-particle DUALITY } also extends to other particles:  $e^-$ , atoms, etc  
(Louis de Broglie)

Classical physics fails when  $\nu$  is large; blackbody, Compton effect ( $\lambda$  is short)

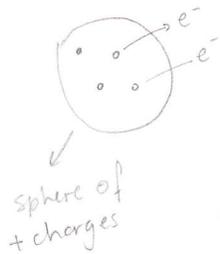
$\hookrightarrow$  relates to the size of  $h$

$\left\{ \begin{array}{l} h\nu \text{ small } (\nu \rightarrow 0) \Rightarrow \text{indistinguishable from continuum} \\ h\nu \text{ large } (\nu \rightarrow \infty) \Rightarrow \text{quantum effects cannot be neglected} \end{array} \right.$

## Nuclear Model

1910-Experiments (scattering of X rays by atoms, photoelectric effect, etc) had been shown that atoms contain  $e^-$ . Since atoms are neutral, they must also contain positive charges. Because  $m_{e^-}$  is so small,  $\Rightarrow$  most of the atom mass must be related to the positive charges

Thomson's Model:  $e^-$  were located within a continuous distribution of + charge  
+ charge distribution - spherical,  $r \approx 10^{-10}$  m (radius of the atom)



"plum pudding" model:  $e^-$  distributed through the sphere

Problem 1:

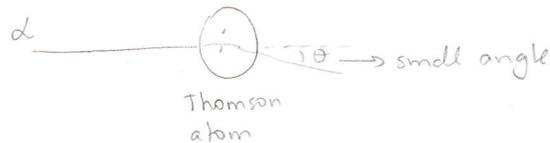
At lowest energy:  $e^-$  would be fixed at equilibrium position according to electrostatic forces  $\rightarrow$  no possible configuration found

In excited atom:  $e^-$  would vibrate

$\hookrightarrow$  continuous emission of radiation

BUT not observed, and loss of energy -  $e^-$  would spiral into the nucleus

Problem 2: could not explain large scattering angles of  $\alpha$  particles



$\rightarrow$   $\oplus$  charge distributed cannot provide intense Coulomb repulsion to explain large deflection (even  $\theta = 180^\circ$  is seen)

$\rightarrow$   $e^-$  are too small  $\Rightarrow$  only small deflection

## Rutherford's Model

$\hookrightarrow$   $\oplus$  charge is not spread throughout atom but is concentrated in a small region at the center

NUCLEUS  $\rightarrow$  from  $10^{-15}$  m to  $10^{-14}$  m (1 fm - 10 fm)

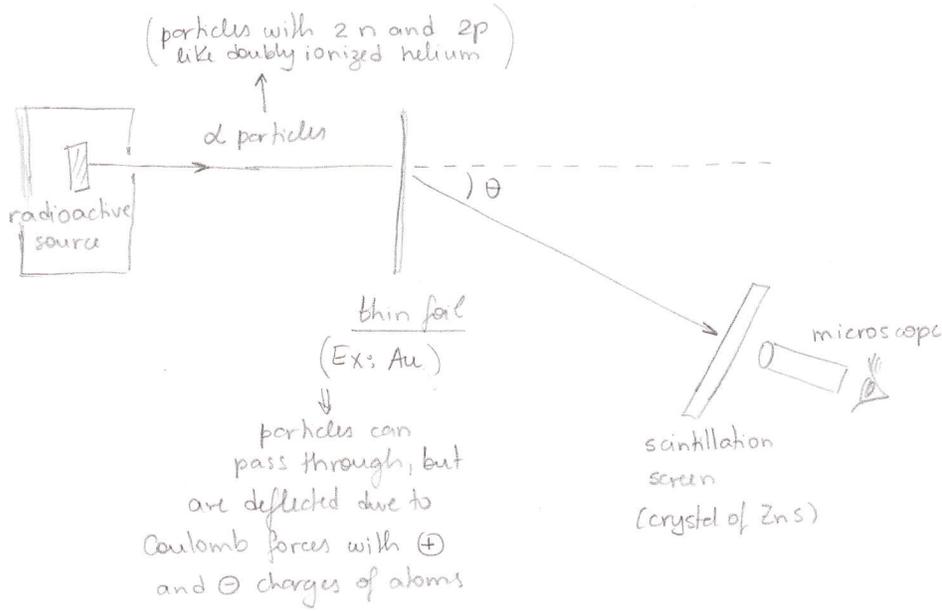
1 fermi } 1 fm =  $10^{-15}$  m  
1 femtometer }

solve Problem 2,

but not Problem 1

$\hookrightarrow$  need Bohr's model

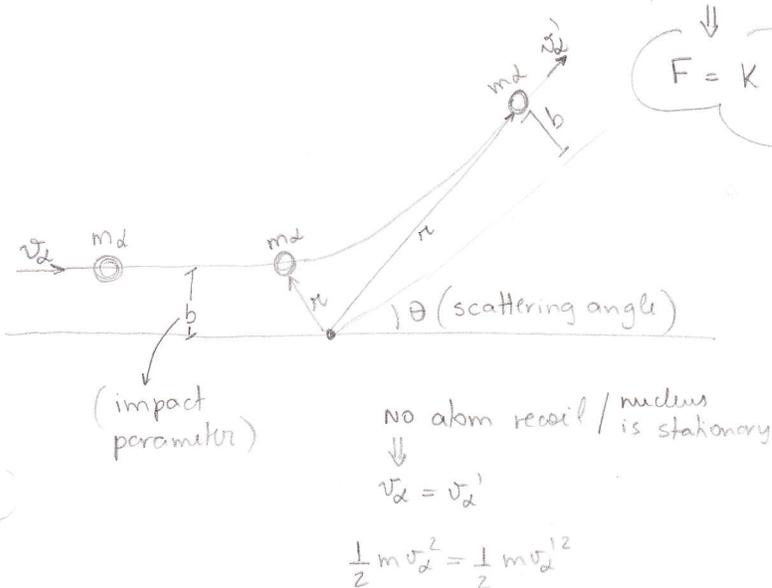
The experiment



Rutherford's assumptions to obtain the theoretical angular distribution for the scattered  $\alpha$  particles (confirmed by his experiments):

For large angles:

- o) scattering due to repulsive Coulomb between  $\alpha$  and  $\oplus$  charged nucleus
- o) m of nucleus is so large compared to  $\alpha \Rightarrow$  no recoil (heavy atom)
  - $\hookrightarrow$  nucleus is a point charge / fixed
- o)  $\alpha$  do not penetrate nuclear region
  - $\hookrightarrow$  nucleus and  $\alpha$  act as point charges

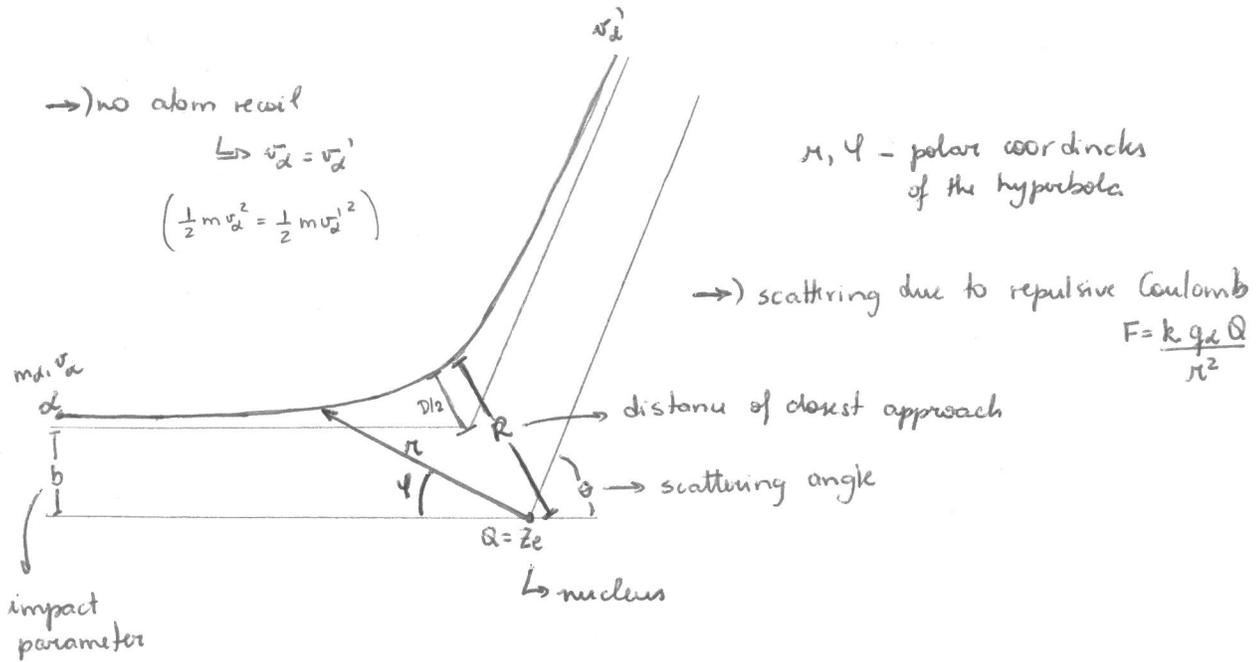


$$F = K \frac{q_{\alpha} Q}{r^2}$$

$$b = \frac{K q_{\alpha} Q}{m_{\alpha} v^2} \cot(\theta/2)$$

$\downarrow$   
smaller  $b \Rightarrow$  larger  $\theta$

# The hyperbolic Rutherford trajectory



$$\frac{1}{r} = \frac{1}{b} \sin \psi + \frac{D}{2b^2} (\cos \psi - 1)$$

← trajectory of the α particle

$$D \equiv \frac{k q_\alpha Q}{\frac{1}{2} m_\alpha v_\alpha^2}$$

← distance of closest approach in a head-on collision (b=0)

$$\frac{1}{2} m_\alpha v_\alpha^2 = \frac{k q_\alpha Q}{D} \left\{ \begin{array}{l} \text{distance where particle stops and} \\ \text{reverses its direction of motion} \\ \text{initial kinetic energy} = \text{potential energy} \end{array} \right.$$

⇒) For  $b > 0$ : particle does not stop, distance of closest approach R is larger than D

⇒) The scattering angle  $\theta$  corresponds to  $\pi - \psi$  as  $r \rightarrow \infty$

$$\theta = \pi - \psi$$

$$r \rightarrow \infty \Rightarrow \frac{1}{r} \rightarrow 0 \Rightarrow -\frac{1}{b} \sin(\pi - \theta) = \frac{D}{2b^2} (\cos(\pi - \theta) - 1)$$

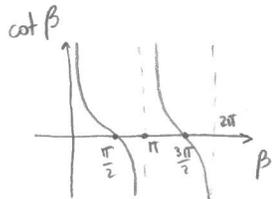
$$\begin{cases} \cos(a+b) = \cos a \cos b - \sin a \sin b \\ \sin(a+b) = \sin a \cos b + \sin b \cos a \end{cases}$$

$$-\frac{1}{b} \sin \theta = \frac{D}{2b^2} (-\cos \theta - 1)$$

$$b = (D/2) \frac{(2 \cos^2 \theta/2 - 1 + 1)}{2 \sin \theta/2 \cos \theta/2}$$

HW → show all steps

$$b = \frac{D}{2} \cot(\theta/2)$$

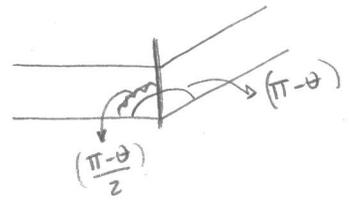


$$\begin{cases} 0 \leq \theta \leq \pi \\ \theta = 0 \Rightarrow b \rightarrow \infty \\ \theta = \pi \Rightarrow b \rightarrow 0 \end{cases}$$

HW  
show all  
the steps

Exercise : Evaluate  $R$ , the distance of closest approach of the particle to the center of the nucleus

$$r = R \text{ when } \psi = (\pi - \theta) / 2$$



$$\frac{1}{R} = \frac{1}{b} \sin\left(\frac{\pi - \theta}{2}\right) + \frac{D}{2b^2} \left[ \cos\left(\frac{\pi - \theta}{2}\right) - 1 \right]$$

$$b = (D/2) \cot(\theta/2) = (D/2) \tan\left(\frac{\pi - \theta}{2}\right)$$

⋮

$$\begin{aligned} \cot x &= \frac{\cos x}{\sin x} \\ \tan x &= \frac{\sin x}{\cos x} \\ \tan\left(\frac{\pi}{2} - x\right) &= \frac{\sin(\pi/2 - x)}{\cos(\pi/2 - x)} = \frac{\cos x}{\sin x} = \cot x \end{aligned}$$

$$R = \frac{D}{2} \left[ 1 + \frac{1}{\sin(\theta/2)} \right]$$

o) As  $\theta \rightarrow \pi$  ( $b \rightarrow 0$ , head-on collision)

$$\hookrightarrow R \rightarrow D$$

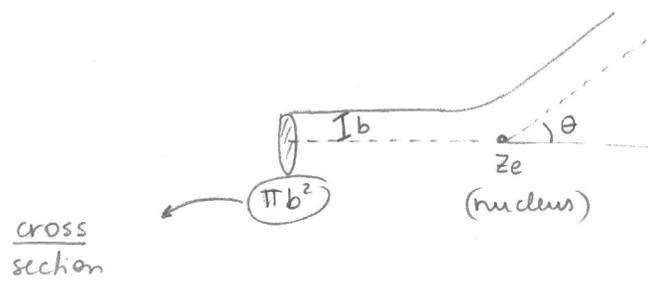
o) As  $\theta \rightarrow 0$  ( $b \rightarrow \infty$ , no deflection)

$$\hookrightarrow R \rightarrow \infty$$

But  $b$  is not known in advance. Experiment measures number of detected  $\alpha$  particles and  $\theta$ .

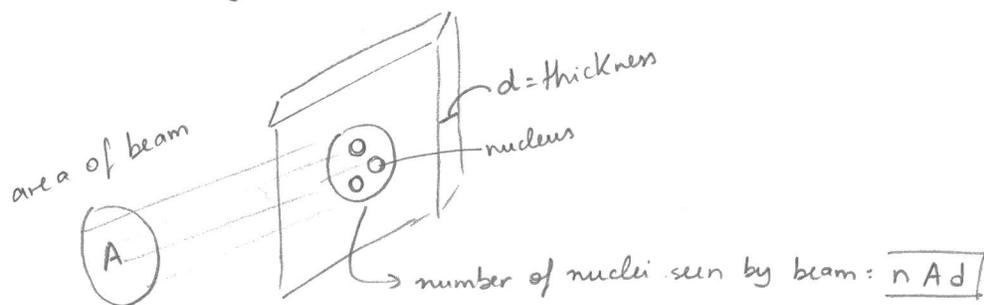
→  $I_0$ : particles per second per unit area (intensity of incident  $\alpha$  particle beam)

→  $(\pi b^2) I_0$ : number of particles scattered per second by one nucleus through angles  $\geq \theta$



for scattering through angles greater than  $\theta$

→  $(\pi b^2) I_0 n d A$ : total number of particles scattered per second for a beam of area  $A$  through a foil of thickness  $d$  containing  $n$  nuclei per unit volume



$$n = \frac{N}{V}$$

$$\left. \begin{array}{l} N \rightarrow M \\ NA \rightarrow M(\text{mol}) \end{array} \right\} N = \frac{M NA}{M(\text{mol})}$$

$$n = \frac{M}{V} \frac{NA}{M(\text{mol})} = \boxed{\rho \frac{NA}{M(\text{mol})}}$$

$f = \frac{(\pi b^2) I_0 n d A}{I_0 A}$  = fraction of the number of  $\alpha$  particles scattered through angles greater than  $\theta$

$I_0 A \rightarrow$  number of  $\alpha$  particles incident per second

Experimentally we can measure  $f$  and verify if it agrees with the theory

$$f = \pi b^2 n d$$

$$n = \frac{\rho N_A}{M(\text{mol})}$$

$$b = \frac{k q_1 q_2}{m v^2} \cot(\theta/2)$$

For example:

for an incident beam of  $\alpha$  particles with kinetic energy 5 MeV, the fraction  $f$  of scattered particles

from a gold foil with  $\theta \geq 90^\circ$  was verified to be  
 $(Z=79)$   
 $10^{-6}$  m thick

$$\rho_{Au} = 19.3 \text{ g/cm}^3$$

$$f \sim 10^{-4}$$

↳ which agrees with the theoretical calculation as shown below

$$\bullet) n = \frac{\rho N_A}{M(\text{mol})} = \frac{(19.3 \text{ g/cm}^3) (6.02 \times 10^{23} \text{ atoms/mol})}{(197 \text{ g/mol})} = 5.9 \times 10^{28} \text{ atoms/m}^3$$

$$\bullet) b = \frac{k q_1 q_2}{m v^2} \cot(\theta/2) = \frac{k (2e) (79e)}{2 (5 \times 10^6 \text{ eV})} \cot(45^\circ) = 2.28 \times 10^{-14} \text{ m}$$

$$k e^2 = 1.440 \text{ eV}\cdot\text{nm}$$

$$\Rightarrow f = \pi (2.28 \times 10^{-14} \text{ m})^2 (5.9 \times 10^{28} \text{ atoms/m}^3) (10^{-6} \text{ m})$$

$$f = 9.6 \times 10^{-5} \approx \underline{\underline{10^{-4}}}$$

Rutherford also derived an expression for the number of  $\alpha$  particles  $\Delta N$  scattered at any angle  $\theta$ :

$$\Delta N = \left( \frac{I_0 A \sin^2(\theta/2)}{r_{fd}^2} \right) \left( \frac{k Z e^2}{2 E_k} \right) \frac{1}{\sin^4(\theta/2)}$$

geometry of the detector

foil-detector distance

↳ kinetic energy of incident particles

Exercise:  $\alpha$  particles from  $^{226}\text{Ra}$  are scattered at  $\theta = 45^\circ$  from a silver foil and 450 particles are counted each minute at the detector.

If the detector is moved to observe particles at  $90^\circ$ , how many will be counted per minute?

$$45^\circ \Rightarrow \frac{\Delta N}{\text{min}} = 450 = \text{Const} \sin^{-4}(45^\circ/2) \Rightarrow \text{Const} = 450 \sin^4(45^\circ/2)$$

$$90^\circ \Rightarrow \frac{\Delta N}{\text{min}} = \left(450 \sin^4(45^\circ/2)\right) \sin^{-4}(90^\circ/2) \approx 39 \text{ particles/min}$$

$\hookrightarrow$  Obs: (for very high energy, particles penetrate nucleus  $\Rightarrow$   
 $F = \frac{k q_1 q_2}{r^2}$  is no longer valid and data don't agree with expression for  $\Delta N$ )

### Size of the nucleus

For  $180^\circ$  the collision is nearly 'head-on'

The closest approach of  $\alpha$  to the nucleus is an experimental upper limit on the size of the nucleus.

Conservation of energy:  $(V+E)_{\text{large } r} = (V+E)_{r_{\text{nucleus}}}$

$$\frac{1}{2} m_\alpha v^2 = \frac{k q_\alpha Q}{r_d}$$

$$r_d = \frac{k q_\alpha Q}{\frac{1}{2} m_\alpha v^2} \approx 3 \times 10^{-14} \text{ m for } 7.7 \text{ MeV } \alpha \text{ particles}$$

### Exercise:

$r_{\text{Au}} = 6.6 \text{ fm}$  - what  $K_\alpha$  is necessary for this measurement?

$$K_\alpha = \frac{k q_\alpha Q}{r_{\text{Au}}} = \frac{(9 \times 10^9 \text{ Nm}^2/\text{C}^2) (2)(79) (1.60 \times 10^{-19} \text{ C})^2}{6.6 \times 10^{-15} \text{ m}}$$

$$K_\alpha = 5.52 \times 10^{-12} \text{ J} = \boxed{34.5 \text{ MeV}}$$

## Stability of the Nuclear Atom

Rutherford's model  $\Rightarrow$  at the center of the atom there is a nucleus  
 where  $m_{\text{nucleus}} \sim m_{\text{atom}}$  and  $q_{\text{nucleus}} = Ze$   
 around nucleus:  $Z e^-$

but stability?

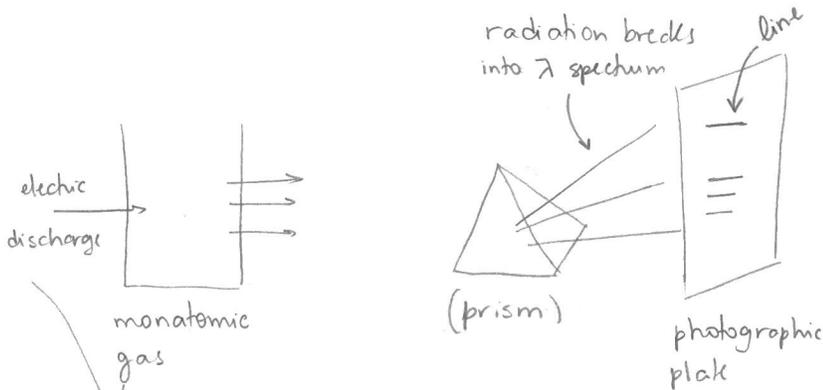
o) stationary  $e^- \Rightarrow$  no possible stable arrangement  
 $e^-$  would fall into nucleus

o) circulating as in the solar system  $\Rightarrow e^-$   
 would lose energy  
 (accelerated  $e^- \Rightarrow$  radiation) and  
 fall into nucleus

ALSO continuous spectrum that would be emitted in the  
 process is not observed, spectrum is discrete

Solution: Bohr's model

## Atomic Spectra



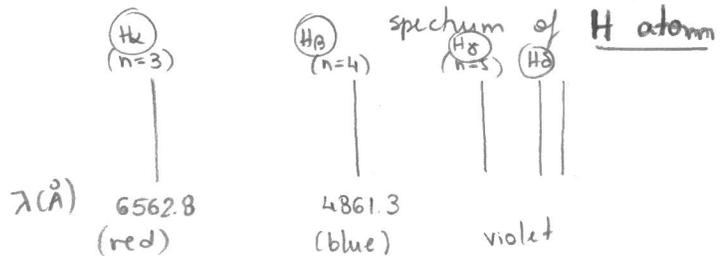
collisions  $\Rightarrow$  some atoms have more energy. When returning to normal energy state  $\rightarrow$  give up excess energy by emitting electromagnetic radiation

electromagnetic radiation emitted by free atoms is concentrated at a number of discrete wavelengths

Each <sup>kind of</sup> atom has its own characteristic spectrum

### SPECTROSCOPY

(1885) Balmer - access to VISIBLE



spacing decreases as  $\lambda$  decreases

$$\lambda = 3646 \frac{n^2}{n^2 - 4}$$

1890 Rydberg: → extended to other elements

$$\text{Balmer formula written for } 1/\lambda \text{ for H} \rightarrow K = \frac{1}{\lambda} = R_H \left( \frac{1}{2^2} - \frac{1}{n^2} \right) \quad n=3,4,5$$

Balmer formula written for  $1/\lambda$  for H

$$\text{Rydberg constant} = 10967757.6 \pm 1.2 \text{ m}^{-1}$$

See Table 4-1, Eisberg, for Hydrogen series for UV and IR

Spectrum of free atoms → discrete

spectroscopy

stars

### Bohr's postulate

↳ explains stability of lines of the spectrum of atoms

- 1)  $e^-$  moves in circular orbit around nucleus due to Coulomb attraction obeying laws of classical mechanics
- 2) only possible orbits: those for which  $L$  is an integral multiple of  $\hbar$ 

$$\hbar = h/2\pi \quad (L = n\hbar)$$

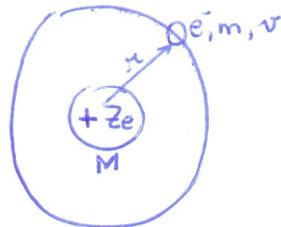
↑ quantum number
- 3)  $e^-$  are constantly accelerating, but when moving in allowed orbit they do not radiate,  $E$  is const (stationary states)
- 4) Electromagnetic radiation is emitted only when an  $e^-$  moves from one allowed orbit to another one. The frequency of the emitted radiation is

$$\nu = \frac{E_i - E_f}{h}$$

# Bohr's Model

→ predictions derived from postulates agree with experiments

consider a single  $e^-$



- $Z=1$  (hydrogen) - neutral
- $Z=2$  (singly ionized helium)
- $Z=3$  (doubly ionized lithium)

→ Assume:

- ) circular orbit
- )  $M \gg m \Rightarrow$  nucleus is fixed

$$\textcircled{*} \frac{kZe^2}{r^2} = \frac{mv^2}{r}$$

Coulomb  $ma$  ↳ centripetal acceleration

→ Orbital angular momentum of  $e^-$ :  $L = mvr$

↳ only some radii exist  
↳ only some speeds exist

quantization condition  $L = nh$

$$mvr = nh \quad n = 1, 2, 3, \dots$$

$$\Rightarrow v = \frac{nh}{mr}$$

→  $\textcircled{*}$  Find RADIUS:  $r = \frac{kZe^2}{mv^2} \Rightarrow$

$$r = \frac{kZe^2}{m \left(\frac{nh}{mr}\right)^2}$$

~~circled scribbles~~

$$r = \frac{n^2 h^2}{k m Z e^2}$$

$$\begin{aligned} &> Z, < n \\ &> n, > r \end{aligned}$$

$$a_0 = \frac{h^2}{m k e^2} \quad (\text{Bohr radius})$$

$= 0.529 \text{ \AA}$  ← Hydrogen atom in the ground state

$n = 1, 2, 3, \dots$   
↑  
 $e^-$  has minimum energy

→ Find speed of  $e^-$ :

$$v = \frac{kZe^2}{nh}$$

$n = 1, 2, 3, \dots$

↳  $n$  cannot be zero

$$\begin{aligned} > Z, \Rightarrow > v \\ > n, \Rightarrow < v \end{aligned}$$

from Coulomb  $\frac{kZe^2}{r^2} = m \frac{v^2}{r}$  *anticipated*

→ TOTAL energy of  $e^-$

$$K = \frac{1}{2} m v^2 = \frac{kZe^2}{2r}$$

$$U = -\frac{kZe^2}{r} \leftarrow \text{from}$$

$$U = -\int_r^{\infty} \frac{kZe^2}{r^2} dr = -\frac{kZe^2}{r}$$

since Coulomb force is attractive, we need to do work to move  $e^-$  from  $r$  to  $\infty$  against this force

$$E = K + U = -\frac{kZe^2}{2r}$$

$$r = \frac{n^2 \hbar^2}{kmZe^2}$$

need energy to remove  $e^-$

$$E_0 = \frac{k^2 m e^4}{2 \hbar^2}$$

$$E = -\frac{k^2 m (Ze^2)^2}{2 \hbar^2} \frac{1}{n^2}$$

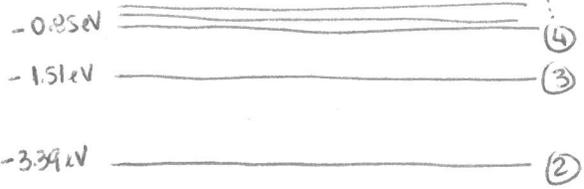
$$n = 1, 2, 3, \dots$$

$$= -\frac{Z^2 E_0}{n^2}$$

→ quantization of  $L$  leads to quantization of total energy of  $e^-$

$E$  (total energy)

$n$  (quantum number)



↑ excited states



lowest energy = ground state

most stable state

most stable state, most negative

need the largest energy to remove  $e^-$

$E_0$  { the binding energy of H is -13.6 eV  
or ionization energy of H is +13.6 eV

→ Frequency of radiation emitted when  $e^-$  makes a transition

from state  $n_i$  to  $n_f$

$$\nu = \frac{E_i - E_f}{h} = \frac{-\frac{k^2 m (Ze^2)^2}{2 \hbar^2} \left( \frac{1}{n_i^2} - \frac{1}{n_f^2} \right)}{h} = \frac{+ \frac{k^2 m (Ze^2)^2}{2 \hbar^2} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)}{h}$$

$\leftarrow \hbar 2\pi$

Electron makes a transition from state  $n_i$  to  $n_f$

$$\nu = \frac{E_i - E_f}{h} = \frac{E_0 Z^2}{h} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$$

$$k = 1/\lambda = \nu/c$$

$$k = \frac{1}{\lambda} = \frac{E_0 Z^2}{hc} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$$

Bohr's prediction for the Rydberg constant  
 $R_{\infty}$                        $R_H$

$n_f = 1$  Lyman series

$n_f = 2$  Balmer series:  $k = R_{\infty} \left( \frac{1}{2^2} - \frac{1}{n_i^2} \right)$

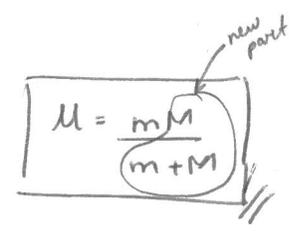
⋮

→ Correction for Finite Nuclear Mass (Bohr's assumption that nucleus is fixed  
 ↳  $M$  is infinite)

↳ substitute  $m$  by  $\mu$ .                       $\mu = \text{reduced mass}$

$$\text{TOTAL } k = \frac{p^2}{2M} + \frac{p^2}{2m} = \frac{M+m}{2mM} p^2 = \frac{p^2}{2\mu}$$

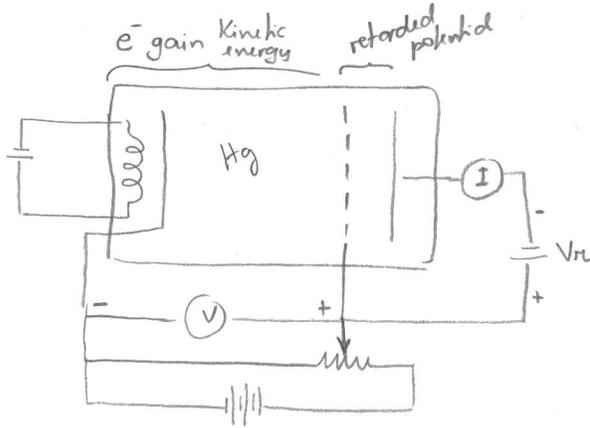
$p_{\text{nucleus}} = p_{\text{electron}}$  (atom at rest)  
 $p_{\text{atom}} = 0$



$$R = \frac{k^2 \mu e^4}{4\pi c h^3} = R_{\infty} \left( \frac{1}{1 + m/M} \right)$$

Franck-Hertz experiment (1914)

↳ confirmation that the internal energy states of an atom are quantized.



at  $\sim 4.9 \text{ eV}$   $I$  drops

$9.8 \text{ eV}$  - again

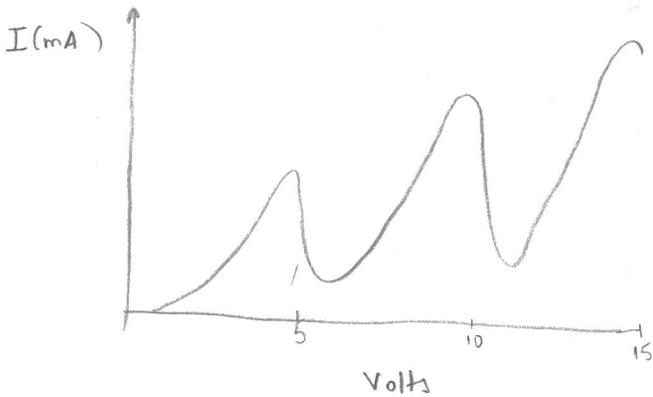
etc

from ground state to 1st excited state

$4.9 \text{ eV} \rightarrow e^-$  has energy to excite Hg atom  
loses energy, cannot get enough to overcome  $V_r$

$9.8 \text{ eV} \rightarrow e^-$  has enough energy to excite  
(2) Hg atoms

⋮



o) Observing spectrum of Hg, Franck and Hertz saw emission line at  $2536 \text{ \AA}$

$$E = h\nu = \frac{hc}{\lambda} \xrightarrow{2536 \text{ \AA}} E = \underline{4.9 \text{ eV}} \quad (!)$$

o)  $e^-$  may also gain enough energy to excite Hg atom from ground to

$\underbrace{\text{2nd, 3rd} \dots \text{ excited state}}_{6.7 \text{ eV}} \rightarrow$  drops in  $I$  also verified

$\rightarrow$ ) can directly measure the energy differences of the quantum states of the atom

but

$\rightarrow$ ) to find  $E$  of ground state, we need to ionize the atom

( DISCRETE SPECTRA is due to DISCRETE energy levels of the atom )

## Old quantum theory

↳ improvements with respect to orbit → could be elliptical  
 ↳ good predictions to several experiments  
 but it has limitations

- ) fails for atoms with more  $e^-$
- ) cannot predict the rate of transitions  $E_i \leftrightarrow E_f$

↳ is conceptually different from what we now call quantum mechanics

↓  
 (Schrödinger equation)  
 the idea of a  
 precise orbit does not make sense anymore  
 $\Delta x$  — there is always an uncertainty in  $x$

What remains from old quantum theory:

- )  $\frac{E_i - E_f}{h} = \nu$
- )  $L$  can be quantized
- ) CORRESPONDENCE PRINCIPLE

"In the limit of large quantum numbers, large energies, (large temperatures) quantum calculations must agree with classical calculations"

which have worked so well  
 in the macroscopic world

classical limit  $\left\{ \begin{array}{l} n \rightarrow \infty \\ E \rightarrow \infty \\ \hbar \rightarrow 0 \end{array} \right.$

HW  
 4.34, 4.36, 4.44  
 (Tipler)

## Wave like properties of particles

Louis de Broglie

wave-particle dualism applies also to matter

symmetry world is made of matter and radiation

{ photon has light wave associated with it that governs its motion  
material particle → matter wave " "

To describe particle, we need  $\underbrace{E, p}$

↳ connect them wave concepts through  $h$

$$\begin{cases} E = h\nu \\ p = h/\lambda \end{cases} \leftarrow \boxed{\lambda = h/p} \text{ (de Broglie relation)}$$

↓  
wavelength of matter wave

Example 3.1 (Eisberg) a) What is the de Broglie wavelength of a baseball moving at speed  $v = 10 \text{ m/s}$  ( $1.0 \text{ kg}$ )

$$\lambda = \frac{h}{p} = \frac{h}{mv} = \frac{6.6 \times 10^{-34} \text{ J}\cdot\text{s}}{1 \text{ kg} \times 10 \text{ m/s}} = \frac{6.6 \times 10^{-35} \text{ m}}{1} = 6.6 \times 10^{-25} \text{ \AA}$$

b) ... of an  $e^-$  where  $K = 100 \text{ eV}$ ?

$$\lambda = \frac{h}{p} = \frac{h}{\sqrt{2mK}} = \frac{6.6 \times 10^{-34} \text{ J}\cdot\text{s}}{\left(2 \times 9.1 \times 10^{-31} \text{ kg} \times 100 \text{ eV} \times 1.6 \times 10^{-19} \frac{\text{J}}{\text{eV}}\right)^{1/2}} = \frac{6.6 \times 10^{-34} \text{ J}\cdot\text{s}}{\sqrt{2.912 \times 10^{-17} \text{ J}}} = 1.2 \times 10^{-10} \text{ m} = 1.2 \text{ \AA}$$

$K = \frac{p^2}{2m}$

c) visible light:  $10^{-6} - 10^{-7} \text{ m}$

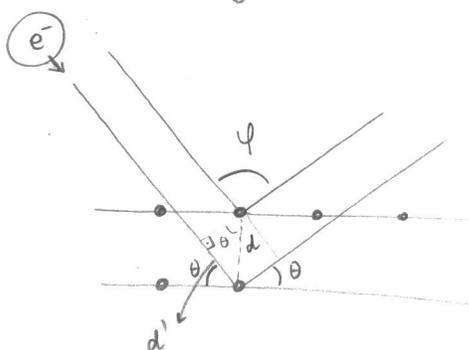
$a$ : dimension of apparatus, slit

in optics if  $a \gg \lambda \rightarrow$  geometrical optics: no diffraction, interference

to observe wavelike aspects  
we need  $\lambda \gtrsim a$

At de Broglie time, they could find a  $\sim 1 \text{ \AA}$  between atoms in a crystal

Davisson and Germer / Thomson ← Thomson's son

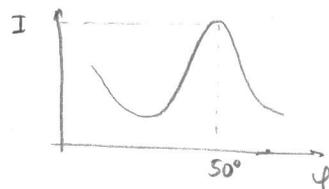


detector put at different angles  $\psi$

scattered electron beam shows a peak depending on the energy of  $e^-$

For  $V = 54 \text{ V} \rightarrow$  peak at  $\psi = 50^\circ$

$\rightarrow$  can only be explained as a constructive interference of waves (like Bragg diffraction)



$$\sin \theta = \frac{d'}{d} \Rightarrow \left\{ \begin{array}{l} \Delta = 2d' = 2d \sin \theta \\ \Delta = n\lambda \end{array} \right\} \Rightarrow \underline{n\lambda = 2d \sin \theta}$$

$$\psi + 2\theta = \pi$$

$$\lambda = 2d \sin \left( \frac{\pi - \psi}{2} \right)$$

crystal of nickel:  $d = 0.91 \text{ \AA}$  (know from x-ray scattering)

$$\lambda = 2 \times 0.91 \sin(65^\circ) = \underline{1.65 \text{ \AA}}$$

$$\lambda = \frac{h}{p} = \frac{6.6 \times 10^{-34} \text{ J}\cdot\text{s}}{\sqrt{2mK}} = \underline{1.65 \text{ \AA}}$$

$$\uparrow 54 \text{ eV} = 54 \times 1.6 \times 10^{-19} \text{ J}$$

## Wave-particle duality.

- ↳ each experiment can only see one aspect
- ↳ in a given measurement only one model applies

entity detected by some interaction with matter } acts like particle,  
as localized

•) when it moves / propagates: behaves like wave,  
interference, not localized

Principle of complementarity: wave and particle models are complementary  
(Bohr)

•) To describe } light waves → guide photons  
radiation:

↳ wave for electric field

$$E(x,t) = A \sin\left[2\pi\left(\frac{x}{\lambda} - \nu t\right)\right]$$

→ satisfies wave equation:  $\frac{\partial^2 E}{\partial x^2} = \frac{1}{(\lambda\nu)^2} \frac{\partial^2 E}{\partial t^2}$

(intensity)  $I = \overline{E^2}$

$$I = N h \nu$$

average number of photons per unit time crossing unit area perpendicular to direction of propagation

$$\overline{E^2} \leftrightarrow N$$

↳ related to the probability of finding a photon in a unit area

•) To describe matter waves } guide material particles

$$\Psi(x,t) = A \sin\left[2\pi\left(\frac{x}{\lambda} - \nu t\right)\right]$$

Wave function

satisfies Schrödinger equation

$\Psi^2$ : ~~mean~~ probability of finding a particle in a unit volume at the position  $x$  at time  $t$

1D: (x)

$\Psi(x,t)$  → wave function (complex)

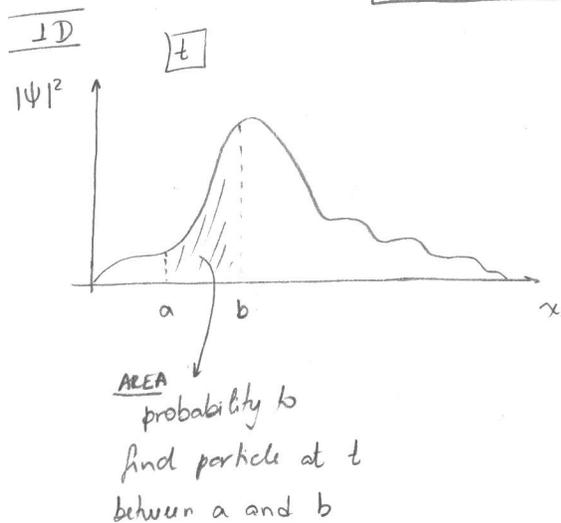
$|\Psi(x,t)|^2$  → probability of finding particle at point  $x$  at time  $t$

$$\int_a^b |\Psi(x,t)|^2 dx = \begin{cases} \text{probability of finding the particle} \\ \text{between } a \text{ and } b \text{ at time } t \end{cases}$$

Contrary to classical physics, quantum physics is INTRINSICALLY probabilistic

- ) Classical physics: if we know the precise initial position AND momentum of a particle, we can predict its motion
- ) Quantum physics: can never know position AND momentum at the same time more accurately than is allowed by the Heisenberg uncertainty principle

$$\Delta p \Delta x \geq \hbar/2$$

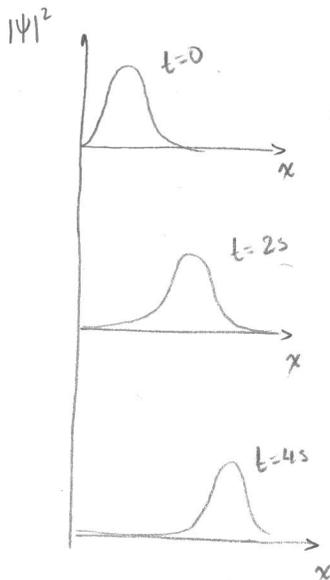


To describe  $\left\{ \begin{array}{l} \text{a localized particle, just} \\ \text{a pulse} \end{array} \right.$

$$\Psi(x,t) = A \frac{\cos(kx - \omega t)}{\sin} \quad \text{is}$$

not enough, we need a **wave packet**

$$\Psi(x,t) = \sum_{n=1}^{\infty} A_n \cos(k_n x - \omega_n t)$$



matter wave is in the form of a **GROUP**

$$\left\{ \begin{array}{l} A \rightarrow \text{amplitude} \\ k = \frac{2\pi}{\lambda} \rightarrow \text{wave number} \\ \omega = 2\pi\nu \rightarrow \text{angular frequency} \end{array} \right.$$

Mathematica

that's what they tried with Mathematica  
 2 sin's  $\Rightarrow$  envelope moving slower  
 many sin's  $\Rightarrow$  more localized, but other peaks still present for just one  $\int$  instead of  $\sum$

so waves won't be in phase anymore

$\rightarrow$  let us derive the uncertainty principle relation by combining

$p = h/\lambda$ ,  $E = h\nu$  and properties of waves

let us start with the sum of **two** sinusoidal waves of slightly different  $\lambda$  and  $\nu$

$$\Psi(x,t) = \sin(kx - \omega t) + \sin((k + \delta k)x - (\omega + \delta \omega)t)$$

$$\sin A + \sin B = 2 \cos \left[ \frac{(A-B)}{2} \right] \sin \left[ \frac{(A+B)}{2} \right] = 2 \left( \cos \frac{A}{2} \cos \frac{B}{2} + \sin \frac{A}{2} \sin \frac{B}{2} \right) \left( \sin \frac{A}{2} \cos \frac{B}{2} + \sin \frac{B}{2} \cos \frac{A}{2} \right)$$

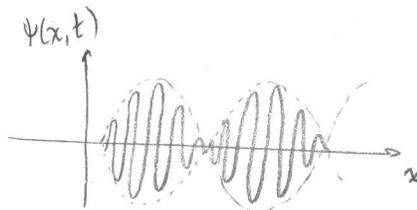
$$= \underbrace{\sin A \cos^2 \frac{B}{2}}_{\sin A} + \underbrace{\cos^2 \frac{A}{2} \sin B + \sin^2 \frac{A}{2} \sin B + \sin A \sin^2 \frac{B}{2}}_{\sin B}$$

$$\Psi(x,t) = 2 \cos\left(\frac{dk}{2}x - \frac{d\omega}{2}t\right) \sin\left(\left(k + \frac{dk}{2}\right)x - \left(\omega + \frac{d\omega}{2}\right)t\right)$$

since  $d\omega \ll \omega$  and  $dk \ll k$

$$\Psi(x,t) = 2 \cos\left(\frac{dk}{2}x - \frac{d\omega}{2}t\right) \sin(kx - \omega t)$$

enveloppe,  
it modulates  $\sin(kx - \omega t)$



In Mathematica - part thw

$$p_1 = \sin[1.1x]$$

$$p_2 = \sin[1.1x]$$

$$p_e = 2 \cos[0.1/2 x] \leftarrow \text{what is it?}$$

$$\left\{ \begin{array}{l} A = ? , t = ? \\ dk = ? \end{array} \right.$$

Suppose a particle moving at speed  $(v)$ . What is the velocity of

the associated matter wave?

$\rightarrow$  The associated wave is the packet GROUP, which also moves with  $v$

$\rightarrow$  group velocity:

given by  
the envelope

$$v_g = \frac{d\omega}{dk}$$

Careful!  $\left\{ \begin{array}{l} k = 2\pi/\lambda \\ \frac{dk}{d\lambda} = -\frac{2\pi}{\lambda^2} \end{array} \right.$

$$E = h\nu \quad \text{and} \quad \omega = 2\pi\nu \Rightarrow E = \hbar\omega$$

$$p = h/\lambda \quad \text{and} \quad k = 2\pi/\lambda \Rightarrow p = \hbar k$$

$$v_g = \frac{dE}{dp}$$

$$E = p^2/2m \Rightarrow v_g = \frac{dE}{dp} = \frac{2p}{2m} = v$$

$\Rightarrow$  velocity of individual wave  $\sin(kx - \omega t)$  is smaller

$$v_w = \lambda\nu = \frac{E}{p} = \frac{1/2 m v^2}{m v} = \frac{v}{2}$$

Therefore, to describe a localized particle we use a wave packet with group velocity  $v_g = \frac{d\omega}{dk}$  equal to the velocity of the particle

→ Sum of more waves

$$\boxed{t=0}$$

$$\Psi(x) = \begin{cases} 1/4 \cos [2\pi 9x] \\ + 1/3 \cos [2\pi 10x] \\ + 1/2 \cos [2\pi 11x] \\ + 1 \cos [2\pi 12x] \\ + 1/2 \cos [2\pi 13x] \\ + 1/3 \cos [2\pi 14x] \\ + 1/4 \cos [2\pi 15x] \end{cases}$$

$$\Psi'(x) = \begin{cases} 1/4 \cos [9x] \\ + 1/3 \cos [10x] \\ + 1/2 \cos [11x] \\ + 1 \cos [12x] \\ + 1/2 \cos [13x] \\ + 1/3 \cos [14x] \\ + 1/4 \cos [15x] \end{cases}$$

$\Delta x$  = width at half of the main peak

$$\left\{ \begin{array}{l} \Delta x \approx 0.05 \\ \Delta k = 4\pi \end{array} \right.$$

$\Delta k$  = width at half of the peak for  $A \uparrow$   
(amplitude)

$k$

$$\left\{ \begin{array}{l} \Delta x \approx 0.2 \\ \Delta k = 1 \end{array} \right.$$

Conclusion: larger  $\Delta k \Rightarrow$  narrower  $\Delta x$

$\Delta x$  is inversely proportional to  $\Delta k$

We need Fourier integral to prove that  $\Delta x \Delta k \geq 1/2 \xrightarrow{p = \hbar k} \boxed{\Delta x \Delta p \geq \hbar/2}$

HW 5.4, 5.7, 5.10, 5.17 <sup>a</sup><sub>b</sub>  
~~5.40~~ 5.40 <sup>a</sup><sub>b</sub>  
 5.42, 5.44 (Tipler)

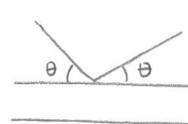
SUMMARY

• wave-particle duality also applies to matter

$$\begin{cases} E = h\nu & \omega = 2\pi\nu & \boxed{E = \hbar\omega} \\ p = h/\lambda & k = 2\pi/\lambda & \boxed{p = \hbar k} \end{cases}$$

• to detect wave aspects:  $\lambda \gtrsim a$  <sup>size of slit</sup>

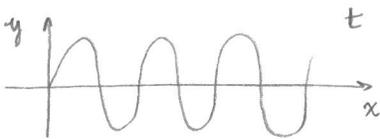
double-slit experiment: interference  
 Davisson-Germer experiment: diffraction



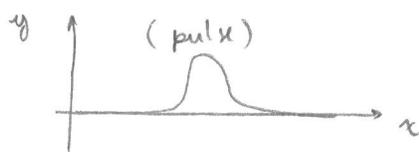
certain  $\theta \Rightarrow$  peak in I (constructive interference)  
 other values of  $\theta \Rightarrow$  NO I (destructive interference)

mathematical justification for

• uncertainty principle



know  $\lambda$ ,  $x = ?$



know  $x$ ,  $\lambda = ?$

particle  $\rightarrow$  localized particle

$$y(x,t) = \sum A_n \cos(k_n x - \omega t)$$

the more spread  $k, p, \lambda \Rightarrow$  the more localized is the particle

(wave packet)

phase velocity

$$v_p = \frac{\omega}{k}$$

group velocity

$$v_g = \frac{d\omega}{dk}$$

(follows the particle)

(t)  $\Delta x \Delta k \sim 1$  (property of any wave)  $\text{!}$

$$\Delta x \Delta p \geq \hbar/2$$

(x)  $\Delta t \Delta \omega \sim 1$  (property of any wave)  $\text{!}$

$$\Delta t \Delta E \geq \hbar/2$$

Quantum mechanics  $\text{!}$

$\Delta x, \Delta p$ : uncertainty, spread

$\Delta t$ : rate of change of an observable  
 $\Delta t$  is small, quick change if uncertainty in E is large

measurements on identically prepared systems DO NOT give identical results

The Uncertainty Principle (mathematically:  $\Psi = \sum \cos \Rightarrow \Delta x \Delta p$   
 physically: measurement disturbs the system)

↳ explains principle of complementarity:

If experiment forces [matter/radiation] to reveal its wave character  $(\lambda \rightarrow p)$ , it suppresses its particle character  $(x)$  (and vice-versa)

(Two-slit experiment)  
Feynmann's text

The precision of measurement is inherently limited by the measurement process itself such that

$$\Delta p_x \Delta x \geq \hbar/2 \quad (\hbar = h/2\pi)$$

Even with ideal instruments we can never do better than  $\Delta p_x \Delta x \geq \hbar/2$

Restriction is not on accuracy to which  $x$  and  $p_x$  can be measured, but on the product  $\Delta p_x \Delta x$  in a simultaneous measurement of both

NOTE: { Planck's constant characterizes the quantum result / the limitation on measurements  
 If  $\hbar \rightarrow 0$  (classical domain)  $\Rightarrow$  no limitations on measurements (classical view)  
 (correspondence principle)

In the microscopic domain, we cannot determine  $x$  and  $p$  simultaneously,  
 cannot specify initial conditions exactly  
 cannot precisely determine the future behavior of a system  
 ↓  
 we can only talk about probabilities of events

# A physical justification for the uncertainty principle

A measurement disturbs the system...

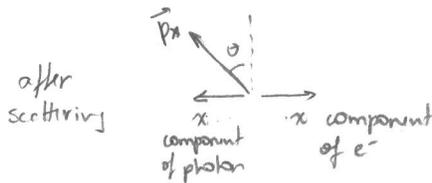
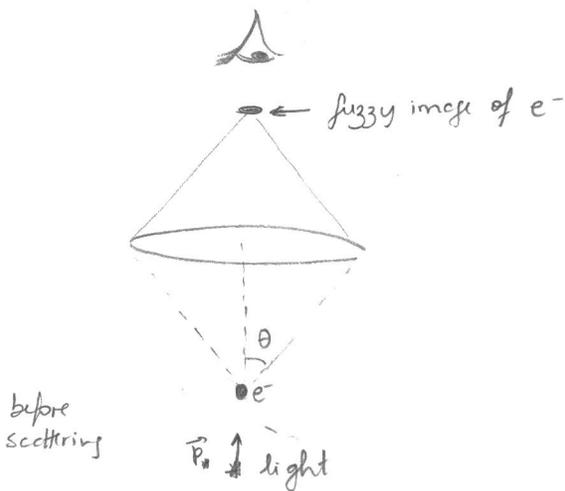
To measure the position of an  $e^-$ , we need light <sup>(microscope)</sup> → at least one photon

The photon is scattered by the  $e^-$  and comes back to our 'eyes'

→ Resolving power of a microscope:  $\Delta x = \frac{\lambda}{2 \sin \theta}$

accuracy to which  $e^-$  can be located

•) To reduce  $\Delta x$ , need shorter  $\lambda$



→ Photon initial momentum is  $p$

after colliding with  $e^-$ , it is scattered within the angular range  $2\theta$  so the  $x$  component of the photon varies from

$$-p \sin \theta \text{ to } p \sin \theta \Rightarrow \Delta p_x = 2p \sin \theta$$

uncertainty after scattering

but the  $e^-$  receives a recoil momentum in  $x$  of the same magnitude

$$\Delta p_x = 2p \sin \theta = 2 \frac{h}{\lambda} \sin \theta$$

$$\Delta x \Delta p = \frac{\lambda}{2 \sin \theta} \cdot 2 \frac{h}{\lambda} \sin \theta = h$$

good estimate for  $\Delta x \Delta p \geq \frac{h}{2} = \frac{h}{4\pi}$

to reduce  $\Delta x$  needs shorter  $\lambda$  ← to reduce  $\Delta p$  needs larger  $\lambda$

consequence of uncertainty principle  
 ↳ zero point energy / quantum fluctuations  
 particles cannot be at rest even at temperature = 0

# Schrödinger equation (1925)

↳ governs the propagation of matter waves  
wave equation governing the motion of particles with mass

(We can't derive Schröd. eq., as we can't derive Newton's laws of motion)  
(Its validity lies in its agreement with experiments)

## ⇒ Wave equation for photons

$$\frac{\partial^2 \phi}{\partial x^2} = \frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2}$$

photon moves at the speed of light  $c$

$$\phi(x,t) = \phi_0 \cos(kx - \omega t)$$

$$\frac{\partial^2 \phi}{\partial x^2} = -k^2 \phi$$

$$\frac{\partial^2 \phi}{\partial t^2} = -\omega^2 \phi$$

$$k^2 = \frac{\omega^2}{c^2} \Rightarrow \omega = kc$$

using  $\omega = E/\hbar$  and  $p = \hbar k$

$$\Rightarrow E = pc$$

relation between  $E$  and  $p$  for photon (relativistic particle)

⇒ Schrödinger eq applies to nonrelativistic problems  
(Dirac eq to relativistic case)

Total energy of nonrelativistic particle:  $E = \frac{p^2}{2m} + V$

$$\hbar\omega = \frac{\hbar^2 k^2}{2m} + V$$

linear in  $\omega$   
quadratic in  $k^2$  ⇒

$$\neq \text{from photon } \omega^2 = k^2 c^2$$

⇒ Schröd. eq. relates (1st) time derivative to the (2nd) space derivative and also involves the potential energy

The eq. also needs to be LINEAR in the wave function ⇒  $\psi_1$  is a solution ⇒  $\psi_2$  is a solution

⇒ any linear combination  $\Psi = a_1 \psi_1 + a_2 \psi_2$  is also a solution (interference can happen)

equation is LINEAR in  $\Psi(x,t) \Rightarrow$  if  $\Psi_1$  and  $\Psi_2$  are two  $\neq$  solutions for given  $V$ , any arbitrary linear superposition  $a_1 \Psi_1 + a_2 \Psi_2$  is a solution

### Schrödinger Equation in 1D

#### Example 5-2 (Eisberg)

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \Psi(x,t)}{\partial x^2} + V(x,t) \Psi(x,t) = i\hbar \frac{\partial \Psi(x,t)}{\partial t}$$

$$\Psi = a_1 \Psi_1 + a_2 \Psi_2$$

$$a_1 \left( -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi_1}{\partial x^2} + V \Psi_1 - i\hbar \frac{\partial \Psi_1}{\partial t} \right) = 0$$

$$- a_2 \left( -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi_2}{\partial x^2} + V \Psi_2 - i\hbar \frac{\partial \Psi_2}{\partial t} \right) = 0$$

free particle: no net force acts on a free particle

$$\hookrightarrow V(x,t) = V_0 \quad (F = \frac{\partial V(x,t)}{\partial x} = 0)$$

just  $\cos(kx - \omega t)$  or  $\sin(kx - \omega t)$  don't satisfy the eq.

$$\Psi(x,t) = A e^{i(kx - \omega t)} = A [\cos(kx - \omega t) + i \sin(kx - \omega t)]$$

constant

$$\frac{\partial \Psi}{\partial t} = -i\omega \Psi$$

$$\frac{\partial \Psi}{\partial x} = i k A \rightarrow \frac{\partial^2 \Psi}{\partial x^2} = -k^2 \Psi$$

$$\frac{\hbar^2 k^2}{2m} \Psi + V_0 \Psi = \hbar \omega \Psi$$

$$\hookrightarrow \frac{\hbar^2 k^2}{2m} + V_0 = \hbar \omega$$

$$\left( \frac{p^2}{2m} + V_0 = E \right)$$

The wave function  
•)  $\Psi(x,t)$  may be COMPLEX  $\rightarrow$  it is a computational device

•)  $P(x,t) dx$ : probability to find particle in the volume  $dx$

$$P(x,t) dx = \Psi^*(x,t) \Psi(x,t) dx = |\Psi(x,t)|^2 dx$$

$\hookrightarrow$  has physical significance, is REAL

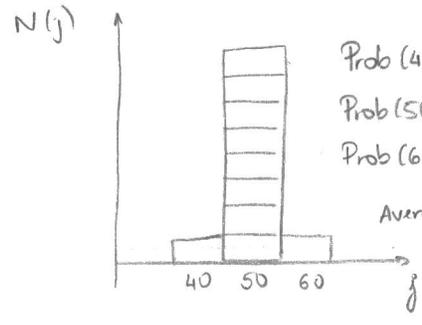
Born's statistical interpretation  
(QM is intrinsically probabilistic)

- $\left\{ \begin{array}{l} P(x,t): \text{probability density for finding particle at point } x \text{ at time } t \\ \Psi(x,t): \text{probability (density) amplitude} \end{array} \right.$

NOTES ON STATISTICS

in a room {  
 1 person: 40 years old  
 8 persons: 50  
 1 person: 60

total: 10 // average:  $\frac{40 + 8 \times 50 + 1 \times 60}{10} = 50$  //



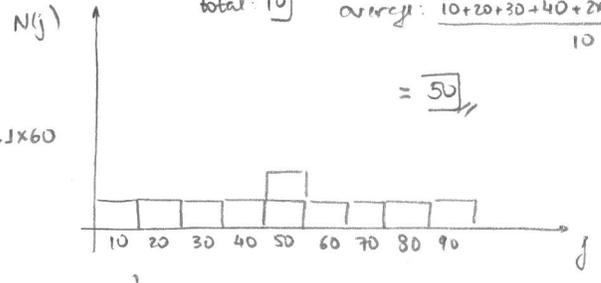
Prob(40) = 1/10  
 Prob(50) = 8/10  
 Prob(60) = 1/10

Average:  $0.1 \times 40 + 0.8 \times 50 + 0.1 \times 60$

another room {  
 1 person: 10  
 " : 20  
 " : 30  
 " : 40  
 2 persons: 50  
 1 person: 60  
 1 " : 70  
 " : 80  
 " : 90

total: 10 // average:  $\frac{10 + 20 + 30 + 40 + 2 \times 50 + 60 + 70 + 80 + 90}{10}$

= 50 //



more spread  
 ↳ larger { dispersion  
 standard deviation }  $\sigma$

variance

$\sigma^2 \equiv \langle (j - \langle j \rangle)^2 \rangle$

how much individuals deviate from average  $\langle j \rangle$

$\sigma^2 = \langle j^2 - 2j\langle j \rangle - \langle j \rangle^2 \rangle = \langle j^2 \rangle - 2\langle j \rangle \langle j \rangle - \langle j \rangle^2 = \langle j^2 \rangle - \langle j \rangle^2$

Dispersion / standard deviation

$\sigma = \sqrt{\langle j^2 \rangle - \langle j \rangle^2}$

uncertainty in  $x$  and  $p$

$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2}$   
 $\Delta p = \sqrt{\langle p^2 \rangle - \langle p \rangle^2}$

1)  $\Psi(x,t)$ : probability amplitude

2)  $\int_a^b |\Psi(x,t)|^2 dx$ : probability to find the particle between a and b at time t

$$\boxed{\int_{-\infty}^{\infty} |\Psi(x,t)|^2 dx = 1} \quad \leftarrow \text{normalization condition}$$

→ wave function contains information about the behavior of the associated particle

### Expectation Values

→ the most we can know about a particle position is the probability that a measurement will yield a value x

$$\langle x \rangle = \int_{-\infty}^{\infty} x P(x) dx = \int_{-\infty}^{\infty} x |\Psi(x,t)|^2 dx = \int_{-\infty}^{\infty} \Psi^*(x,t) x \Psi(x,t) dx$$

→ expectation value is the average of repeated measurements on a ensemble of identically prepared systems

(not the average of repeated measurements on one and the same system, because after a measurement we COLLAPSE the wave function)

$$\langle x^2 \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t) x^2 \Psi(x,t) dx$$

any function  $f(x)$

$$\langle f(x) \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t) f(x) \Psi(x,t) dx$$

↳ how can we find  $\langle p \rangle$ ?  $p$  is NOT function of  $x$ , because of the uncertainty princ.

1) one way is to use the wave function for momentum  $\Psi(p,t)$  [Fourier transform  $\Psi(x,t) \rightarrow \Psi(p,t)$ ]

2) the other way is to use  $p \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial x}$

$$\left\{ \begin{array}{l} \frac{p^2}{2m} + V_0 = E \quad \leftarrow \begin{array}{l} \text{free} \\ \text{particle} \end{array} \quad \Psi(x,t) = A e^{i(kx - \omega t)} \\ \\ -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V_0 \Psi = i\hbar \frac{\partial \Psi}{\partial t} \end{array} \right.$$

$$\Leftrightarrow \boxed{p \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial x}} \quad \begin{array}{l} \text{momentum} \\ \text{operator} \end{array}$$

$$\langle p \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t) \left( \frac{\hbar}{i} \frac{\partial}{\partial x} \right) \Psi(x,t) dx$$

$$\langle p^2 \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t) \left( \frac{\hbar}{i} \frac{\partial}{\partial x} \right) \left( \frac{\hbar}{i} \frac{\partial}{\partial x} \right) \Psi(x,t) dx$$

→ no forces act on the particle

Example 5-9 (Eisberg) Consider a particle of mass  $m$  which can move freely along the  $x$  axis anywhere from  $x = -a/2$  to  $x = a/2$ , but which is strictly prohibited from being found outside this region. The particle bounces back and forth between the walls at  $x = \pm a/2$  of a one-dimensional box.

The wavefunction for the lowest energy state of this particle is

$$\Psi(x,t) = \begin{cases} A \cos \frac{\pi x}{a} e^{-iEt/\hbar} & -a/2 < x < a/2 \\ 0 & x \leq -a/2 \text{ or } x \geq a/2 \end{cases}$$

- Show that  $\Psi(x,t)$  is a solution to the Schrödinger eq. and determine  $E$
- Determine the value of the constant  $A$  so that the probability to find the particle somewhere in  $x$  is 1
- Evaluate  $\langle x \rangle$ ,  $\langle p \rangle$ ,  $\langle x^2 \rangle$ ,  $\langle p^2 \rangle$
- Show that  $\Delta x \Delta p$  is consistent with the uncertainty principle

HW

5.24, Prob. 1.9, Prob. 1.17  
(Griffiths) (Griffiths)

$$\int_{-\infty}^{\infty} e^{-\alpha x^2} dx = \sqrt{\pi/\alpha}$$

$$\int_{-\infty}^{\infty} x e^{-\alpha x^2} dx = 0$$

$$\int_{-\infty}^{\infty} x^2 e^{-\alpha x^2} dx = \frac{1}{2\alpha} \sqrt{\frac{\pi}{\alpha}}$$

no forces act on the particle  $\Rightarrow V = \text{const}$   
 $\hookrightarrow$  can choose  $V = 0$

$$a) \quad -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V\psi = i\hbar \frac{\partial \psi}{\partial t}$$

$$\psi = A \cos \frac{\pi x}{a} e^{-iEt/\hbar}$$

$$\frac{\partial \psi}{\partial t} = -\frac{iE}{\hbar} \psi$$

$$\frac{\partial \psi}{\partial x} = -\frac{\pi}{a} A \sin \frac{\pi x}{a} e^{-iEt/\hbar} \longrightarrow \frac{\partial^2 \psi}{\partial x^2} = -\left(\frac{\pi}{a}\right)^2 \psi$$

to satisfy

Schröd

eq  $\Rightarrow$

$$\frac{+\hbar^2}{2m} \frac{\pi^2}{a^2} = E$$

$$\cos(2y) = \cos^2 y - \sin^2 y = 2\cos^2 y - 1$$

$$\hookrightarrow \cos^2 y = \frac{1 + \cos 2y}{2}$$

$$b) \quad \int_{-a/2}^{a/2} |\psi|^2 dx = 1 \Rightarrow \int_{-a/2}^{a/2} A^2 \cos^2 \left(\frac{\pi x}{a}\right) dx = 1$$

$$A^2 \left[ \underbrace{\int_{-a/2}^{a/2} \frac{dx}{2}}_{a/2} + \underbrace{\int_{-a/2}^{a/2} \frac{1}{2} \cos \left(\frac{2\pi x}{a}\right) dx}_{\substack{y = \frac{2\pi x}{a} \\ dx = \frac{a}{2\pi} dy}} \right] = A^2 \left[ \frac{a}{2} - \frac{a}{4\pi} \sin y \Big|_{-\pi}^{\pi} \right] = A^2 \frac{a}{2}$$

$$\frac{1}{2} \int_{-\pi}^{\pi} \frac{a}{2\pi} \cos y dy$$

$$\hookrightarrow A = \sqrt{2/a}$$

$$c) \quad \langle x \rangle = \int_{-a/2}^{a/2} \frac{2}{a} \cos^2 \frac{\pi x}{a} \overset{\text{odd}}{x} dx = 0$$

EVEN function:  $f(x) = f(-x)$

Examples:  $x^2, \cos x$

ODD function:  $-f(x) = f(-x)$

Examples:  $x, x^3, \sin x$

$$\langle x^2 \rangle = \frac{2}{a} \int_{-a/2}^{a/2} \cos^2 \left(\frac{\pi x}{a}\right) x^2 dx = \frac{1}{a} \left[ \int_{-a/2}^{a/2} x^2 dx + \int_{-a/2}^{a/2} \cos \left(\frac{2\pi x}{a}\right) x^2 dx \right]$$

$$y = \frac{2\pi x}{a} \quad dx = \frac{a}{2\pi} dy$$

$$\int_{-a/2}^{a/2} \cos\left(\frac{2\pi x}{a}\right) x^2 dx = \frac{a}{2\pi} \frac{a^2}{4\pi^2} \int_{-\pi}^{\pi} \cos y y^2 dy$$

$$\begin{aligned} u &= y^2 & dv &= \cos y dy \\ du &= 2y dy & v &= \sin y \end{aligned}$$

$$\cancel{y^2 \sin y} \Big|_{-\pi}^{\pi} - 2 \int_{-\pi}^{\pi} y \sin y dy$$

$$\begin{aligned} u &= y & dv &= \sin y dy \\ du &= dy & v &= -\cos y \end{aligned}$$

$$\begin{aligned} & \underbrace{-y \cos y} \Big|_{-\pi}^{\pi} + \int_{-\pi}^{\pi} \cos y dy \\ & +\pi + (\pi) = 2\pi \quad \cancel{\sin y} \Big|_{-\pi}^{\pi} \end{aligned}$$

$$\langle x^2 \rangle = \frac{1}{a} \left[ \frac{(a/2)^3}{3} - \frac{(-a/2)^3}{3} - \frac{a^3}{2\pi^2} \pi \right] = \frac{a^2}{12} - \frac{a^2}{2\pi^2} = \frac{a^2}{2\pi^2} \left( \frac{\pi^2}{6} - 1 \right) = 0.033 a^2$$

$$\langle p \rangle = \frac{2}{a} \int_{-a/2}^{a/2} \cos \frac{\pi x}{a} \left( \frac{\hbar}{i} \frac{\partial}{\partial x} \cos \frac{\pi x}{a} \right) dx = -\frac{2\hbar\pi}{i a^2} \int_{-a/2}^{a/2} \cos \frac{\pi x}{a} \sin \frac{\pi x}{a} dx = 0$$

$$-\frac{\hbar}{i} \frac{\pi}{a} \sin \frac{\pi x}{a}$$

$$\langle p^2 \rangle = \frac{2}{a} \int_{-a/2}^{a/2} (-\hbar^2) \cos \frac{\pi x}{a} \frac{\partial^2 \cos \pi x/a}{\partial x^2} dx = \frac{2}{a} \hbar^2 \frac{\pi^2}{a^2} \int_{-a/2}^{a/2} \cos^2 \left( \frac{\pi x}{a} \right) dx$$

$$\begin{aligned} & \left. \begin{aligned} & -\frac{\pi}{a} \frac{\partial}{\partial x} \sin \frac{\pi x}{a} \\ & \left( \frac{\pi}{a} \right)^2 \cos \frac{\pi x}{a} \end{aligned} \right\} \end{aligned}$$

$$\int_{-a/2}^{a/2} \frac{dx}{2} + \int_{-a/2}^{a/2} \cos \left( \frac{2\pi x}{a} \right) dx \quad \begin{aligned} y &= \frac{2\pi x}{a} \\ dx &= \frac{a}{2\pi} dy \end{aligned}$$

$$\frac{a}{2} + \int_{-\pi}^{\pi} \cos y dy = -\cancel{\sin y} \Big|_{-\pi}^{\pi} = 0$$

$$\langle p^2 \rangle = \frac{2\hbar^2 \pi^2}{a^2} \frac{a}{2} \rightarrow \langle p^2 \rangle = \left( \frac{\hbar \pi}{a} \right)^2$$

$$d) \Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} = \sqrt{\langle x^2 \rangle} = 0.18 a$$

$$\Delta p = \sqrt{\langle p^2 \rangle - \langle p \rangle^2} = \sqrt{\langle p^2 \rangle} = \frac{\hbar \pi}{a}$$

$$\Delta x \Delta p = 0.18 a \frac{\hbar \pi}{a} = \boxed{0.57 \hbar}$$

which is consistent with the lower limit  $\hbar/2$  set by the uncertainty principle

Relativity (Tipler: Chapters 1 and 2, Eisberg: Appendix A)

Newton's first law: "every object continues in its state of rest, or uniform velocity in a straight line, as long as no net force acts on it"  
(Law of Inertia)

In any inertial reference frame, a particle moves without any change in velocity if no net force acts on it

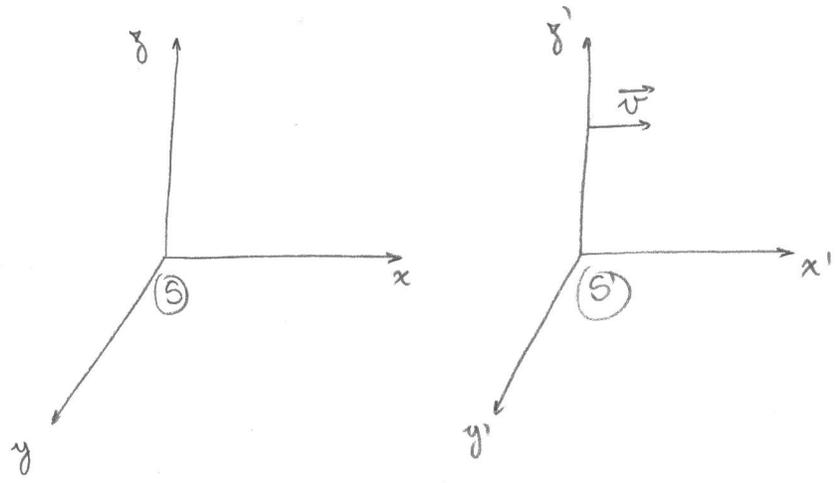
⊛ Special theory of relativity deals with inertial frames  
↑ our subject (Einstein-1905) (frames of reference moving with constant velocity relative to each other)  
↑ (Annus Mirabilis)

→ General theory of relativity deals with accelerated frames and gravity  
subject of more advanced courses (Einstein-1916) (deformation of space-time caused by large masses)

- 1) How do we transform our description of a system from one frame to another one? (coordinates)
- 2) What happens to the equations which govern the behavior of the system when we make the transformation?

Classical physics to describe the state of a system we need a reference frame → use it for coordinates, time derivatives of coord. Given  $m$  and  $\vec{F}$ ; Newton's equations calculate the state at any future time

→ assume that the origins of S and S' coincide at  $t=t'=0$



$(x, y, z, t)$  or  $(x', y', z', t')$  can be equally used to specify the coordinates of the particle at any instant of time

### Galilean Transformation

Particle in S' seen by S

$$\begin{cases} x = x' + vt \\ y = y' \\ z = z' \\ \boxed{t = t'} \end{cases} \Rightarrow \boxed{u_x = u'_x + v}$$

Particle in S seen by S'

$$\begin{cases} x' = x - vt \\ y' = y \\ z' = z \\ \boxed{t' = t} \end{cases} \Rightarrow \boxed{u'_x = u_x - v}$$

Answer to question 1

Answer to question 2

$\left\{ \begin{array}{l} \underline{S} \text{ (Newton's 2nd law)} \\ m \frac{d^2x}{dt^2} = F_x \\ m \frac{d^2y}{dt^2} = F_y \\ m \frac{d^2z}{dt^2} = F_z \end{array} \right.$	$\left\{ \begin{array}{l} \frac{dx'}{dt} = \frac{dx}{dt} - v \Rightarrow \frac{d^2x'}{dt^2} = \frac{d^2x}{dt^2} \\ \frac{dy'}{dt} = \frac{dy}{dt} \Rightarrow \frac{d^2y'}{dt^2} = \frac{d^2y}{dt^2} \\ \frac{dz'}{dt} = \frac{dz}{dt} \Rightarrow \frac{d^2z'}{dt^2} = \frac{d^2z}{dt^2} \end{array} \right.$	$\left\{ \begin{array}{l} \text{mass} \\ \Rightarrow \\ \text{is an} \\ \text{intrinsic} \\ \text{property} \\ \text{of the} \\ \text{particle} \end{array} \right.$	$\left\{ \begin{array}{l} \cancel{F'_x = F_x} \\ \cancel{F'_y = F_y} \\ \cancel{F'_z = F_z} \end{array} \right.$
--	--	--	--

acceleration is the same in S and S'

and  $F'_x = F_x, F'_y = F_y, F'_z = F_z \Rightarrow$

$F_x = m \frac{d^2x}{dt^2} = m \frac{d^2x'}{dt^2}$  and since  $F_x = F_{x'} \Rightarrow F_{x'} = m \frac{d^2x'}{dt^2}$

$m \frac{d^2x'}{dt^2} = F_{x'} \quad m \frac{d^2y'}{dt^2} = F_{y'} \quad m \frac{d^2z'}{dt^2} = F_{z'}$

Newton's law of motion are INVARIANT for any inertial frame under a Galilean transformation

BUT Maxwell's equations do change their mathematical form under a Galilean transformation

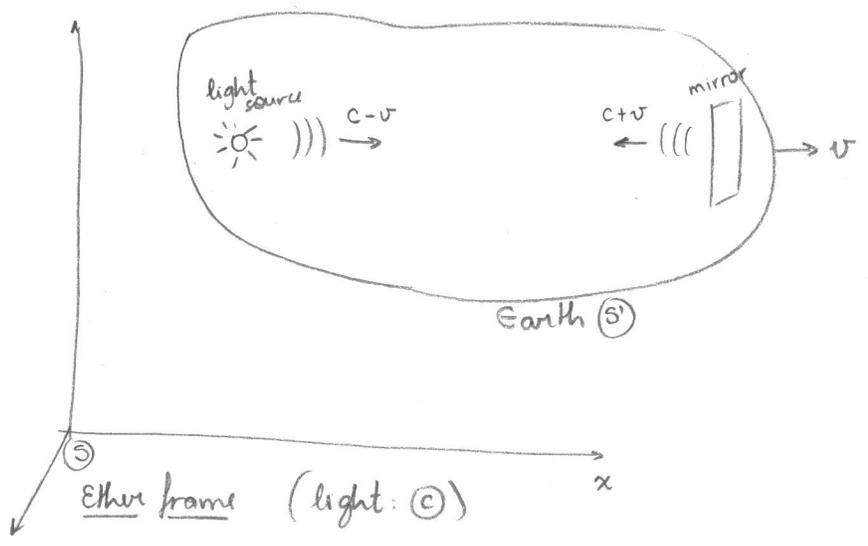
→ Maxwell's equations predicted the existence of electromagnetic waves whose speed was  $c$

WRONG XIX century beliefs

- o) they must propagate in a medium called ether
- o) there was only one frame, the ether frame where the velocity of light was  $c$

Michelson - Morley experiment

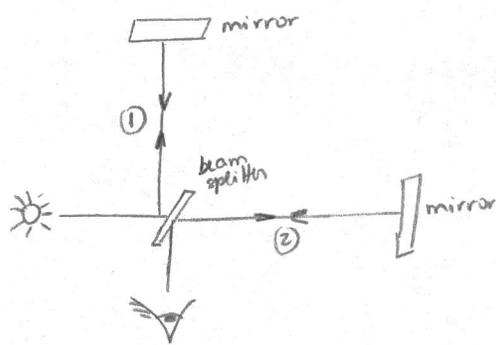
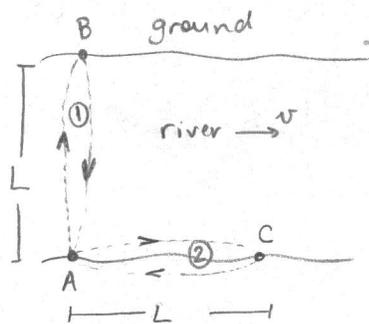
The motion of Earth through the ether should be detectable



light to the right (+x):  
 $u'_x = u_x - v \Rightarrow u'_x = c - v$

light to the left (-x):  
 $u'_x = u_x - v \Rightarrow |u'_x| = c + v$   
 $\ominus -c$

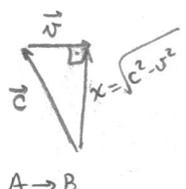
↑  
too small to measure directly so they designed an experiment based on (interferometry)



ⓐ is the speed of each boat in still water  
 $c > v$ . Which boat (ⓐ or ⓑ) wins the race?

$$t_{\text{ⓐ}} = t_{A \rightarrow B} + t_{B \rightarrow A} = \frac{L}{\sqrt{c^2 - v^2}} + \frac{L}{\sqrt{c^2 - v^2}} = \frac{2L}{c \sqrt{1 - v^2/c^2}} = \frac{2L}{c} \left(1 - \frac{v^2}{c^2}\right)^{-1/2} = \frac{2L}{c} \left(1 + \frac{1}{2} \frac{v^2}{c^2} + \dots\right)$$

Taylor expansion



$$(1-x)^{-1/2} \approx 1 + \left(-\frac{1}{2}(1-x)^{-3/2}\right) \Big|_{x=0} x + \dots$$

$$= 1 + x + \dots$$

$$x^2 + v^2 = c^2$$

$$x = \sqrt{c^2 - v^2}$$

$$t_{\text{ⓑ}} = t_{A \rightarrow C} + t_{C \rightarrow A} = \frac{L}{c+v} + \frac{L}{c-v} = \frac{L(c-v+c+v)}{c^2 - v^2} = \frac{2L}{c^2 \left(1 - \frac{v^2}{c^2}\right)} \approx \frac{2L}{c} \left(1 + \frac{v^2}{c^2} + \dots\right)$$

$$\left(1 - \frac{v^2}{c^2}\right)^{-1} \approx 1 + \left(-1 \left(1 - \frac{v^2}{c^2}\right)^{-2} (-1)\right) \Big|_{x=0} \frac{v^2}{c^2} + \dots$$

Taylor

$$t_{\text{ⓐ}} \approx \frac{2L}{c} + \frac{L v^2}{c^3}, \quad t_{\text{ⓑ}} \approx \frac{2L}{c} + 2L \frac{v^2}{c^3}$$

→ boat ⓐ would win the race

→ Michelson's interferometer is based on the same idea, where the time difference translates into phase difference and consequent interference pattern

Shift expected from Michelson-Morley experiment was never detected

- ⚠ There is no ether, there is no special frame in which light speed is  $c$   
electromagnetic waves propagate in the vacuum

### Einstein's postulates

⇒ we need to modify Galilean transformation

- ① The laws of physics are the same in all inertial reference frames  
↳ all inertial frames are equivalent for all phenomena (mech. or electromag.)
- ② ~~There is no ether~~  
The speed of light in the vacuum is  $c$  for any inertial ref. frame  
↳ all observers measure the same value  $c$  for the speed of light, independent of the motion of the source

Galilean transf  $\Rightarrow t = t' \Rightarrow$  there is the same time scale at all places in any frame - universal time scale

### Events and observers

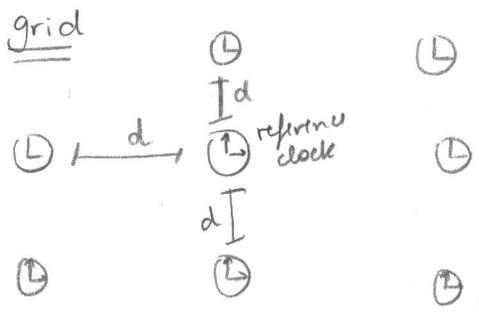
- is recorded in space and time
- An event occurs at some point in space and at some instant in time
  - Events are described by observers who belong to particular inertial frames

### Simultaneity (defining time scale in a single frame)

- simultaneous events: the arrival of the train and hand of watch points at 7  
↑ nearby ↓
- how to determine simultaneity of events at spaced locations?  
↳ need to synchronize clocks  
↳ which involves transmission of signals  
↳ with finite speed  $v \leq c$

→) We CAN synchronize clocks in one reference frame

In one frame



- 1) reference clock sends a flash out light to other
- 2) d is known and also C

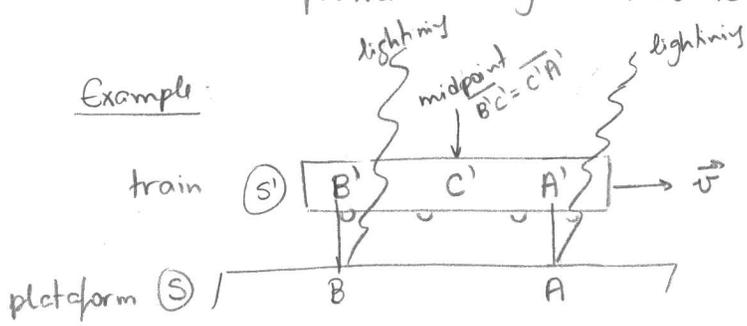
An event occurring at  $t_1$  and  $x_1$  is simultaneous with an event at  $t_2$  and  $x_2$  if light emitted from each arrives simultaneously at the midpoint between  $x_1$  and  $x_2$

→ this definition mixes time and space

Relativity of simultaneity

Two spatially separated events simultaneous in one reference frame are not, in general, simultaneous in another inertial frame moving relative to the first.

Example:



- 1) lightning bolts strike A and B simultaneously
- 2) they reach C simultaneously
- 3) train is moving: signal from A' will reach C' before the signal from B'
- ↳ events are not simultaneous in S'

→) we CANNOT synchronize clocks at different frames / time is not absolute

# Lorentz transformation

↳ Einstein's bold move

Galilean transf. had not been questioned

↳ this would imply in changes in Newton's equations!

We want to make a change → this modifies the classical relation  $t=t'$

$$x = \gamma (x' + vt')$$

$$x' = \gamma (x - vt)$$

$$\Rightarrow \begin{aligned} x &= \gamma (\gamma x - \gamma vt + \gamma vt') \\ \gamma vt' &= (1 - \gamma^2)x + \gamma^2 vt \end{aligned}$$

so that Maxwell's equations are invariant and light propagates at speed  $c$  in any inertial frame

$$t' = \gamma t + \frac{(1 - \gamma^2)x}{\gamma v}$$

→ At  $t=t'=0$  a flash of light starts at the origin of  $S$  and  $S'$

S (spherical wave) S'

$$x^2 + y^2 + z^2 = c^2 t^2$$

$$x'^2 + y'^2 + z'^2 = c^2 t'^2$$

$$\gamma^2 (x - vt)^2 + y^2 + z^2 = c^2 \gamma^2 \left( t + \frac{1 - \gamma^2}{\gamma^2} \frac{x}{v} \right)^2$$

$$\underbrace{\left( \gamma^2 - c^2 \frac{(1 - \gamma^2)^2}{\gamma^2 v^2} \right)}_1 x^2 + \underbrace{(-2\gamma^2 vt - c^2 2 \frac{(1 - \gamma^2)}{v} t)}_{=0} x + y^2 + z^2 = c^2 t^2 \underbrace{\left( \gamma^2 - \gamma^2 \frac{v^2}{c^2} \right)}_1$$

$$\gamma^2 \left( 1 - \frac{v^2}{c^2} \right) = 1 \Rightarrow$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\beta = \frac{v}{c}$$

$$\bullet) t' = \gamma t + \frac{(1-\gamma^2)}{\gamma} \frac{x}{v} = \gamma \left( t + \frac{(1-\gamma^2)}{\gamma^2} \frac{x}{v} \right)$$

$$\left( 1 - \frac{1}{1-v^2/c^2} \right) (1-v^2/c^2) = \frac{1-v^2/c^2 - 1}{1-v^2/c^2} = -\frac{v^2}{c^2}$$

$$\Rightarrow t' = \gamma \left( t - \frac{v}{c^2} x \right)$$

to find  $t$ :  $x = \gamma(x' + vt')$  into  $x' = \gamma(x - vt)$

$$x' = \gamma^2 x' + \gamma^2 vt' - \gamma vt$$

$$t = \frac{(\gamma^2 - 1)x'}{\gamma v} + \frac{\gamma^2 vt'}{\gamma v} \Rightarrow t = \gamma t' + \frac{(\gamma^2 - 1)}{\gamma v} x'$$

$$\frac{1 - 1 + v^2/c^2}{(1-v^2/c^2) v} \sqrt{1-v^2/c^2} = \frac{v}{c^2} \frac{1}{\sqrt{1-v^2/c^2}}$$

$$\Rightarrow t = \gamma \left( t' + \frac{v}{c^2} x' \right)$$

S

$$\begin{cases} x = \gamma(x' + vt') \\ y = y' \\ z = z' \\ t = \gamma \left( t' + \frac{v}{c^2} x' \right) \end{cases}$$

S'

$$\begin{cases} x' = \gamma(x - vt) \\ y' = y \\ z' = z \\ t' = \gamma \left( t - \frac{v}{c^2} x \right) \end{cases}$$

Example 1-3 Two cosmic-ray muons are recorded by detectors in the lab, one at  $t_a$  at  $x_a$  and the other at  $t_b$  at  $x_b$ .  
ref. frame  $(S)$

what is the time interval between those two events in  $(S')$  which moves at speed  $v$  relative to  $S$ ?

$$t' = \gamma \left( t - \frac{v}{c^2} x \right)$$

$$t'_b - t'_a = \gamma (t_b - t_a) - \frac{\gamma v}{c^2} (x_b - x_a)$$

depends on time interval in  $(S)$  AND spatial separation of clocks in  $(S)$

→ PROPER TIME interval  $\left\{ \begin{array}{l} (t_b - t_a) \text{ when } x_b = x_a \\ (S) \end{array} \right.$

PROPER TIME: time interval measured in a frame where the events occur in the same place

For  $S'$ :  $\therefore$  PROPER TIME interval is the minimum time interval between those events

it occurs when  $v=0 \Rightarrow \gamma = \frac{1}{\sqrt{1-v^2/c^2}} = 1 \Rightarrow (t'_b - t'_a) = (t_b - t_a)$

$\bullet$  in general:  $\gamma \geq 1$  and  $\gamma \rightarrow \infty$  when  $v \rightarrow c$

$$\Rightarrow (t'_b - t'_a) = \gamma (t_b - t_a) \geq (t_b - t_a)$$

time interval is LONGER by a factor  $(\gamma)$  in a frame moving relative to the frame of the proper time

TIME DILATION

→ For which reference frame  $S''$  would these events be simultaneous?

$$t''_b - t''_a = 0 \Rightarrow \gamma (t_b - t_a) = \frac{\gamma v}{c^2} (x_b - x_a)$$

$$\frac{v}{c} = \frac{(t_b - t_a) c}{(x_b - x_a)}$$

## TIME DILATION

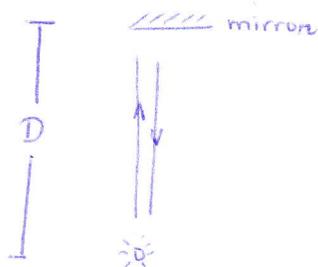
$S'$  moves at  $v$  with respect to  $S$

At  $t=0$ , they coincide



Observer  $O'$  at rest in  $S'$  triggers a flash gun

In  $S'$



time interval between emission and reception

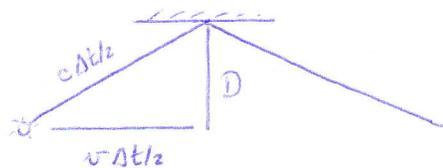
$$\Delta t' = \frac{2D}{c}$$

$$\Delta t' = \tau$$

(proper time)

→ light travels at  $c$  in  $S$  and  $S'$

In  $S$



$$c^2 \left( \frac{\Delta t}{2} \right)^2 = D^2 + v^2 \left( \frac{\Delta t}{2} \right)^2$$

$$\Delta t^2 = \frac{4D^2}{c^2 - v^2} = \frac{4D^2}{c^2 (1 - v^2/c^2)}$$

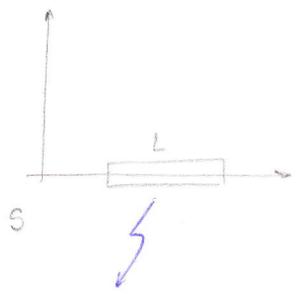
$$\Delta t = \gamma \Delta t'$$

$\gamma \geq 1 \Rightarrow$  Time dilation

1) light travels farther in  $S$

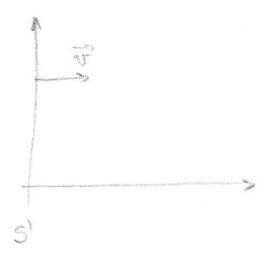
2) it takes longer in  $S$  to reach the mirror and return

# Length Contraction



PROPER length

length of an object measured in the reference frame in which the object is at rest



- HW
- Tipler
- 1-13a
  - 1-19
  - 1-23a
  - 1-24
  - 1-28

What is  $L'$  as seen from an observer in  $S'$ ?

$$L' = x'_b - x'_a = \gamma \left( (x_b - x_a) - v (t_b - t_a) \right)$$

no information about  $\Delta t$   
 in  $S$ , the object is at rest, so we can measure its extremes  $x_b$  and  $x_a$  at any time

$$L = x_b - x_a = \gamma \left( \underbrace{(x'_b - x'_a)}_{L'} + v (t'_b - t'_a) \right)$$

we have to measure  $x'_b$  and  $x'_a$  at the same time, because the object is moving, so  $t'_b - t'_a = 0$

$$L' = \frac{1}{\gamma} L$$

length of the object is smaller when measured in a frame with respect to which it is moving

## Muon Decay

Muons decay after 2.2  $\mu$ s in a reference frame where they are at rest

If muons are detected at 9000m above the sea level, are they expected to be observed at sea level?

They move at  $0.998c$  toward the surface of Earth

$$\left\{ \begin{array}{l} v = \frac{\Delta x}{\Delta t} \Rightarrow \Delta x = 0.998c \times 2.2 \times 10^{-6} = \underline{660 \text{ m}} \end{array} \right.$$

so it might seem as the muons should decay before reaching sea level

BUT observations show that nearly all muons detected at 9000m reach sea level

$$\text{Events } \left\{ \begin{array}{l} \text{muon formed } t_A \\ \text{muon decayed } t_B \end{array} \right\} \text{ in } \boxed{\text{muon}} \text{ ref. frame } t'_B - t'_A = (\gamma) \text{ proper lifetime}$$

⚠ CAREFUL - muon lifetime is 2.2  $\mu$ s in its own reference frame

For the Earth reference frame,

the muon lifetime is increased according to time dilation

⇒ Earth ref. frame

$$\Delta t = \gamma \Delta t'$$

$$\gamma = \frac{1}{\sqrt{1-v^2/c^2}} \approx 15.8$$

$$\underline{\Delta t \approx 35 \mu\text{s}}$$

↳ which explains why muons DON'T decay

⇒ Muon ref. frame

↳ muons see atmosphere moving

atmosphere is at rest with respect to Earth. 9000m is its proper thickness (L)

L' contracts

$$L' = L/\gamma = \frac{9000}{15.8} \approx \underline{570 \text{ m}}$$

↳ so the muons have no reason to decay they have not travelled 660m yet

Example 1-8

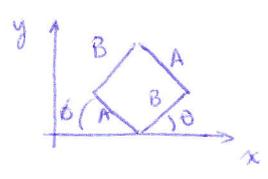
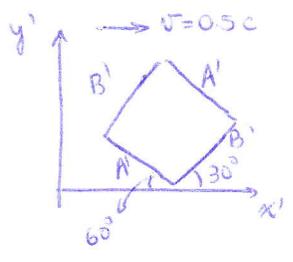
Elephants have a gestation period of 21 months.  
 A freshly impregnated elephant is sent toward space at  $v = 0.75c$ .  
 If we monitor radio transmissions from the spaceship,  
 how long after launch should we find out about the  
 newborn calf?

Example 1-9

A stick that has a proper length of 1m moves in a  
 direction parallel to its length with speed  $v$  relative to you.  
 The length of the stick as measured by you is 0.914m.  
 What is the speed  $v$ ?

Example 1-10

Consider the square in the  $x'y'$  plane of  $S'$  with one side  
 making a  $30^\circ$  angle with the  $x'$  axis. If  $S'$  moves with  $\beta = 0.5$   
 relative to  $S$ , what is the shape and orientation  
 of the figure in  $S$ ?



$A = ?$   $B = ?$   $\theta = ?$   $\phi = ?$

$S'$  moves in the  $x$  direction of  $S$   $\Rightarrow$  contraction may happen in  $x$   
 there is no motion in the  $y$  direction not in  $y$

$S'$ : reference frame of elephant  
 $\tau_0 = 21$  months (proper gestation period)

$S$ : reference frame of Earth  
time dilation

$$\hookrightarrow \Delta t = \gamma \tau_0$$

$$\gamma = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - 0.75^2}} \approx 1.51$$

①  $\Delta t = 21 \times 1.51 \approx \boxed{31.7 \text{ months}}$

② radio signal is sent to Earth  
 $\hookrightarrow$  speed  $c$

it has to travel a distance  $\Delta x = \gamma (\Delta x' + v \Delta t')$   
 $\hookrightarrow$  proper time

$$\Delta x = \gamma v \tau_0$$

$$\Delta x = \gamma (\beta c) \tau_0 = 1.51 (0.75) (21) c$$

$$\underline{\underline{\Delta x = 23.8 c}}$$

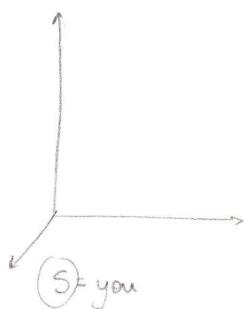
③ time for radio signal to reach Earth

$$\Delta t_s = \frac{\Delta x}{c} = \frac{23.8 c}{c} = \underline{\underline{23.8 \text{ months}}}$$

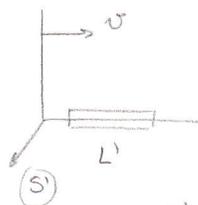
④ total time for the news:  $\Delta t + \Delta t_s = 31.7 + 23.8 = \underline{\underline{55.5 \text{ months}}}$

*elephant doesn't move in its ref frame*

Example 1-9



$$L = 0.914 \text{ m}$$



(stick at rest in  $S'$ )

$$L' = 1 \text{ m} \\ \text{(proper length)}$$

$$L = \frac{L'}{\gamma} = \frac{1 \text{ m}}{\gamma} \sqrt{1 - v^2/c^2} \Rightarrow 1 - v^2/c^2 = (0.914)^2$$

$$v = 0.406c$$

Example 1-10

(square at rest in  $S'$ )  $\Rightarrow$  contraction may happen for  $S$

$$\left\{ \begin{array}{l} A_x = \frac{A_x'}{\gamma} \Rightarrow A_x = \frac{A' \cos 60^\circ}{\gamma} \\ A_y = A_y' \Rightarrow A_y = A' \sin 60^\circ \end{array} \right\} A = \sqrt{A_x^2 + A_y^2} = A' \sqrt{\frac{\cos^2 60^\circ}{\gamma^2} + \sin^2 60^\circ}$$

$$A = 0.968 A'$$

$$\left\{ \begin{array}{l} B_x = B_x'/\gamma \Rightarrow B_x = B' \cos 30^\circ / \gamma \\ B_y = B_y' \Rightarrow B_y = B' \sin 30^\circ \end{array} \right\} B = \sqrt{B_x^2 + B_y^2} = B' \sqrt{\cos^2 30^\circ / \gamma^2 + \sin^2 30^\circ}$$

$$B = 0.901 B'$$

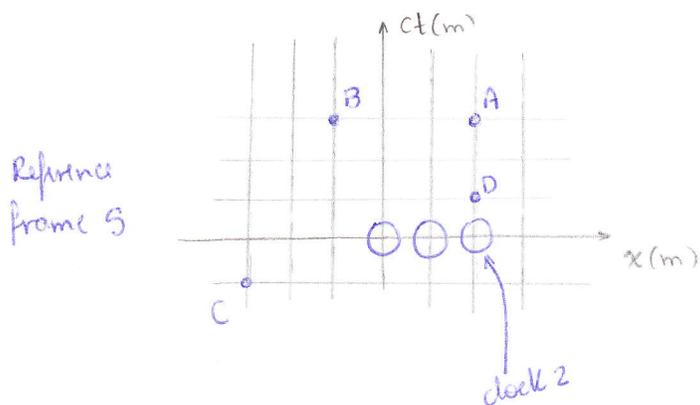
$$\tan \theta = B_y / B_x = \frac{B' \sin 30^\circ}{B' \cos 30^\circ / \gamma} \Rightarrow \theta = 33.7^\circ$$

$$\tan \phi = A_y / A_x = \frac{A' \sin 60^\circ}{A' \cos 60^\circ / \gamma} \Rightarrow \phi = 63.4^\circ$$

## Spacetime Diagram

Events are fundamental  
 $t$  vs  $x$  graphs

Let's ignore  $y'=y$  and  $z'=z$



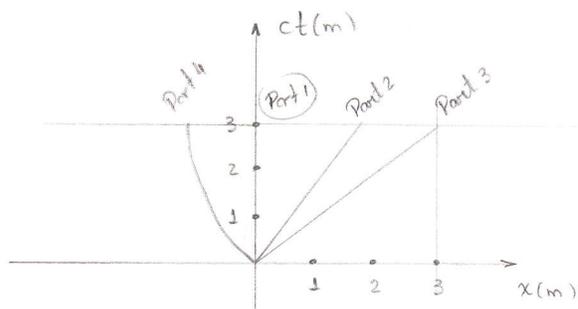
→ events A and D occur at the same place at  $\neq$  times

$t_A - t_D \rightarrow$  proper time, as measured by clock 2

→ events A and B are simultaneous

→ event C happened before the present  
 (we consider  $ct = ct' = 0$  to be the present)

worldline: trajectory a particle on the spacetime diagram

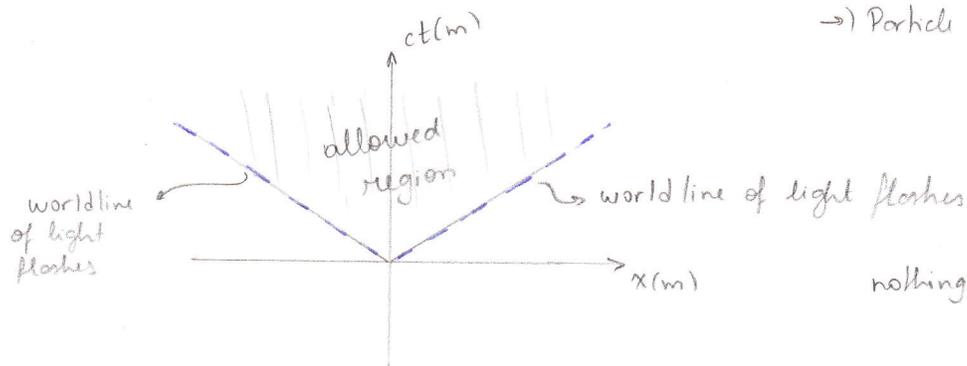


→ Particle 1 is at rest

→ Particle 2 moves at  $u < c$   
 $u = \Delta x / \Delta t$

→ Particle 3 moves at  $c$  (light pulse)

→ Particle 4 slows down



nothing moves faster than light

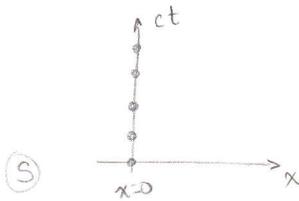
$S'$  moves in the  $+x$  direction of  $S$  at  $v$

They coincide at  $t=t'=0$  ( $x=x'=0$ )

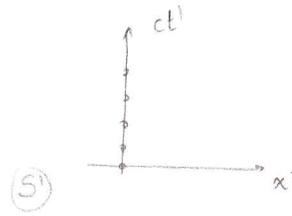
How does  $S'$  appear in the spacetime diagram of  $S$ ?

To find  $ct'$  axis in the spacetime diagram of  $S$

→ Worldline of  $x=0$  in  $S$  is  $ct$  axis



Worldline of  $x'=0$  in  $S'$  is  $ct'$  axis



$$\beta = \frac{v}{c} < 1$$

→ The slope of  $ct'$  may be found from Lorentz transformations

$$x' = \gamma(x - vt)$$

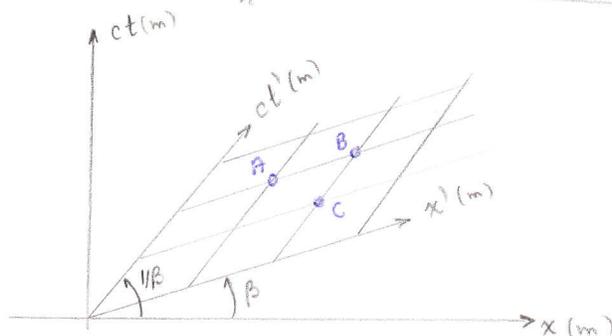
$$v = \frac{x}{t} = \frac{xc}{ct} \Rightarrow ct = \frac{x}{v/c} \Rightarrow \boxed{ct = \frac{1}{\beta} x}$$

→ To find  $x'$  axis in the spacetime diagram of  $S$

$x'$  axis is the axis for all points where  $ct'=0$

use Lorentz transformation

$$t' = \gamma\left(t - \frac{v}{c^2}x\right) \Rightarrow t = \frac{v}{c^2}x \Rightarrow \boxed{ct = \beta x}$$



Events:

A and B: simultaneous in  $S'$

B and C: same place in  $S'$

### Example 1-7

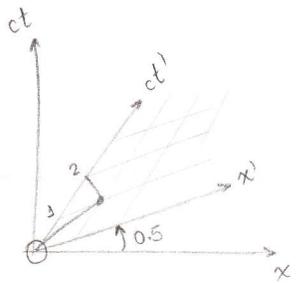
Two events occur at the same ~~time~~ point  $x'_0$  at times  $t'_1$  and  $t'_2$  in  $S'$ , which moves with speed  $v$  relative to  $S$ . What is the spatial separation of these events measured in  $S$ ?

$$\Delta x = \gamma (\Delta x' + v \Delta t') = \gamma v \Delta t'$$

→ Using the figure:  $\beta = 0.5$  (slope of  $x'$  axis)

↳  $\gamma = \frac{1}{\sqrt{1-\beta^2}} = 1.15$

$\Delta t' = \frac{2}{c}$



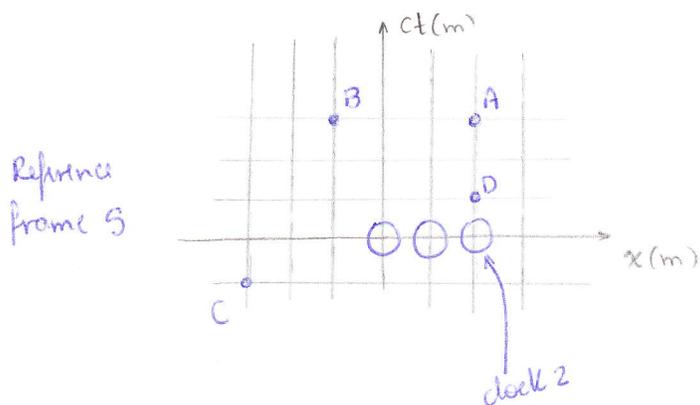
$$\Delta x = \gamma \frac{v}{c} c \Delta t' = \gamma \beta c \Delta t' = (1.15) (0.5) (2)$$

$$\underline{\underline{\Delta x = 1.15 \text{ m}}}$$

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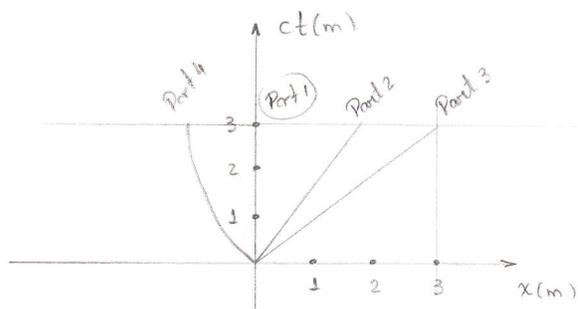
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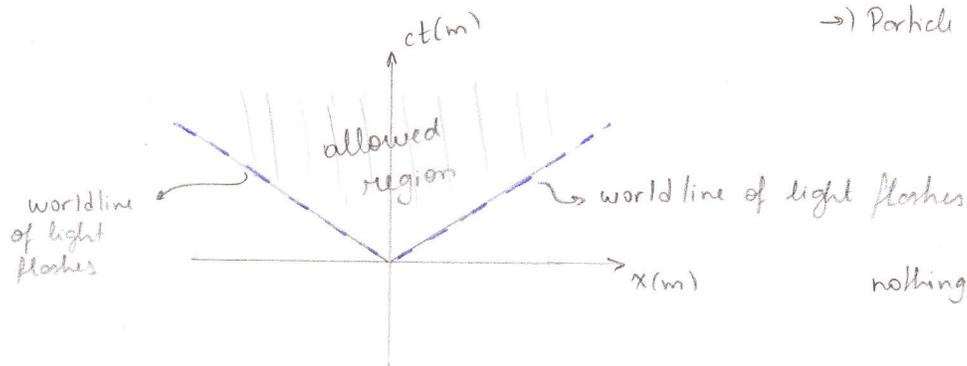


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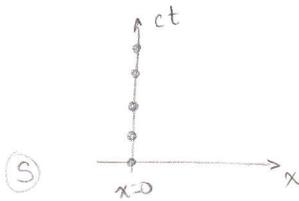
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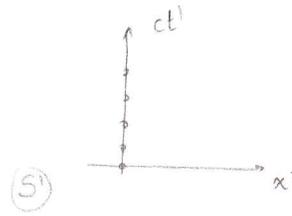
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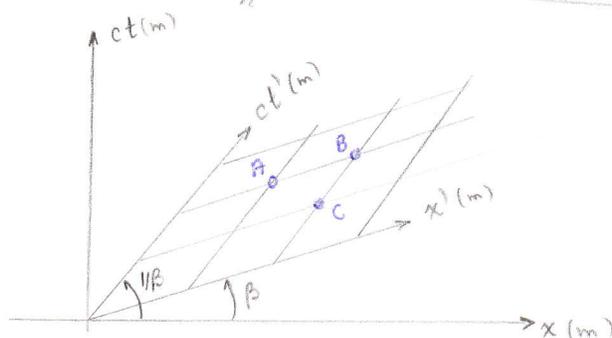
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use Lorentz transformation

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Events:

A and B: simultaneous in  $S'$

B and C: same place in  $S'$

Example 1-7

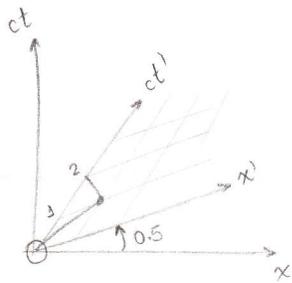
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$\gamma = \frac{1}{\sqrt{1-\beta^2}} = 1.15$

$\Delta t' = \frac{2}{c}$



$$\Delta x = \gamma \frac{v}{c} c \Delta t' = \gamma \beta c \Delta t' = (1.15) (0.5) (2)$$

$$\Delta x = 1.15 \text{ m}$$

# Relativistic Mass

Lorentz transf.  $\Rightarrow$  need modification of the equations of mechanics so that they remain INVARIANT under the transformation from one inertial frame to another

## RELATIVISTIC mechanics

Newton's 2nd law in the form  $\vec{F} = m\vec{a}$  is (not) relativistically invariant but

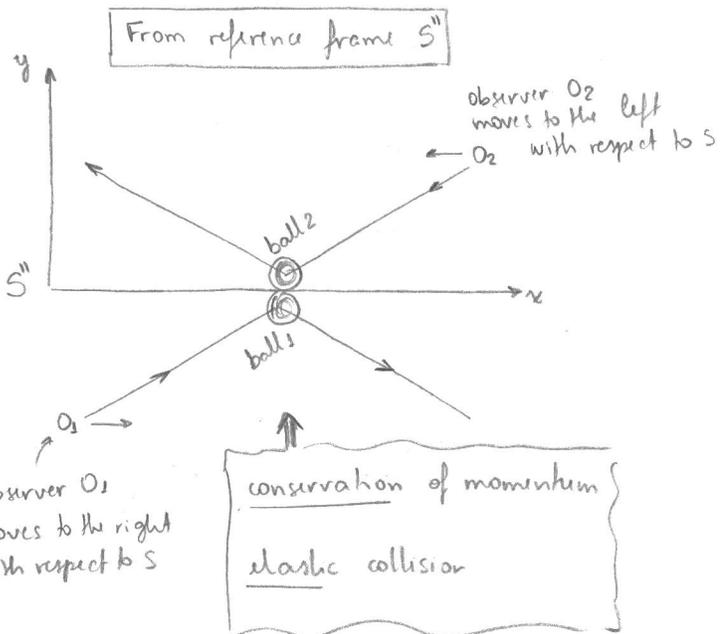
$\vec{F} = \frac{d\vec{p}}{dt}$  is relativistically invariant if the relativistic momentum  $\vec{p}$  is used

~~Equation~~

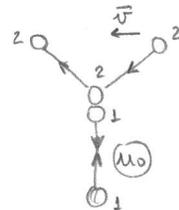
$$\vec{p} = m(v)\vec{v}$$

$\hookrightarrow$  mass is a function of  $v$

## Thought experiment

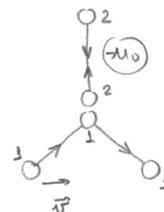


From the reference frame of  $O_1$  (S)



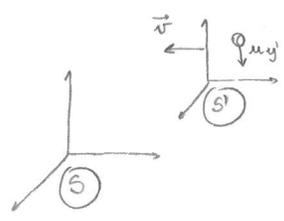
$\vec{v}$ : velocity of  $O_2$  with respect to  $O_1$

From the reference frame of  $O_2$  (S')



$\vec{v}$ : velocity of  $O_1$  with respect to  $O_2$

→) From the reference frame of  $O_1$  ( $S$ )



Ball 1 moves along the  $y$  axis with velocity  $u_{y1} = u_0$

Ball 2 has an  $x$  and  $y$  components of its velocity

$$\text{Ball 2} \begin{cases} u_{x2} = \frac{u_{x2}' + v}{1 + \frac{v}{c^2} u_{x2}'} & \begin{matrix} u_{x2}' = 0 \\ \longrightarrow \\ u_{x2} = v \end{matrix} \\ u_{y2} = \frac{u_{y2}'}{\gamma \left(1 + \frac{v}{c^2} u_{x2}'\right)} & \begin{matrix} u_{y2}' = -u_0 \\ \longrightarrow \\ u_{y2} = -\frac{u_0}{\gamma} \end{matrix} \end{cases}$$

$u_{y2}$  is smaller than  $u_{y1}$ , because time taken for ② to travel in  $S$  ( $O_1$ ) is greater than measured in  $S'$  ( $O_2$ )

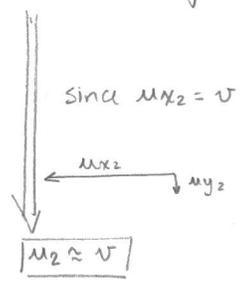
Before collision  $u_{y1} = u_0$       After collision  $u_{y1} = -u_0$   
 collision  $u_{y2} = -\frac{u_0}{\gamma}$       collision  $u_{y2} = \frac{u_0}{\gamma}$

to guarantee conservation of momentum in  $S \Rightarrow m(v)$

$$\begin{matrix} \text{(before collision)} & & \text{(after collision)} \\ m(u_0) u_0 - m(u) u_{y2} & = & -m(u_0) u_0 + m(u) u_{y2} \end{matrix}$$

$$\frac{m(u)}{m(u_0)} = \frac{u_0}{u_{y2}} = \frac{u_0}{u_0 \sqrt{1 - v^2/c^2}}$$

Approximation:  $u_0 \ll v \Rightarrow u_{y2} = u_0 \sqrt{1 - v^2/c^2} \ll v$



$u_0 \approx 0$   
 $u_2 \approx v$

$$m(v) = \frac{m_0}{\sqrt{1 - v^2/c^2}}$$

$m_0$  is the classically measured mass of the particle (mass of the particle at rest)

mass of an object in motion with respect to an observer is LARGER than measured when it is at rest

→ Conservation of momentum is valid in relativity provided we write

$$\vec{p} = \frac{m_0 \vec{u}}{\sqrt{1 - u^2/c^2}}$$

mass  
 $m(u) = \frac{m_0}{\sqrt{1 - u^2/c^2}}$

→ in the reference frame of  $O_1$  (S)  $\left\{ \begin{array}{l} p_{y1} + p_{y2} \text{ (before collision)} \\ \text{and} \\ p_{y1} + p_{y2} \text{ (after collision)} \end{array} \right.$  only changes signs, so for momentum to be conserved  $p_{y1} + p_{y2} = 0$

Proof

$$p_{y1} = \frac{m_0 u_{y1}}{\sqrt{1 - u_0^2/c^2}}$$

$$p_{y2} = \frac{m_0 u_{y2}}{\sqrt{1 - (u_{x2}^2 + u_{y2}^2)/c^2}}$$

and so  $p_{y1} + p_{y2} = 0$

showing it

$$p_{y2} = \frac{-m_0 u_0 \sqrt{1 - v^2/c^2}}{\sqrt{1 - (v^2 + u_0^2 - \frac{u_0^2 v^2}{c^2})/c^2}} = \frac{-m_0 u_0 \sqrt{1 - v^2/c^2}}{\sqrt{(\frac{1 - v^2}{c^2}) - \frac{u_0^2}{c^2} (\frac{1 - v^2}{c^2})}} = \frac{-m_0 u_0 \sqrt{1 - v^2/c^2}}{\sqrt{1 - v^2/c^2} \sqrt{1 - u_0^2/c^2}}$$

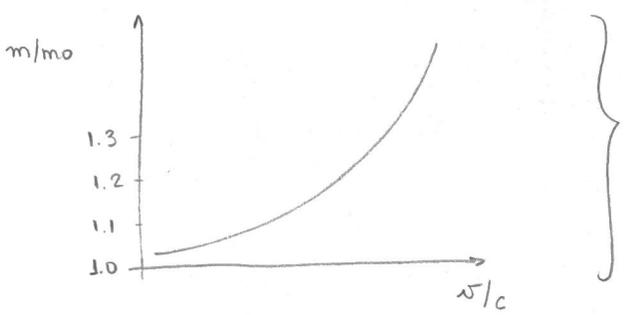
$$p_{y2} = -p_{y1}$$

Bucherer 1909

1st experimental confirmation of the dependence of mass on velocity

$e^-$  of high velocity → technique similar to the one used by Thomson to measure  $q/m$

$$q u B = m \frac{u^2}{R} \Rightarrow \frac{q}{m} = \frac{u}{R B}$$



prove that  $\overline{m(v)}$   
and that  $\underline{c = 2.998 \times 10^8 \text{ m/s}}$

## Example 2-1

For what value of  $(u/c)$  will the measured mass of an object  $\frac{m_0}{\sqrt{1-u^2/c^2}}$  exceed the rest mass by a fraction  $f$ ?

↳ that is,  $\frac{m_0}{\sqrt{1-u^2/c^2}} = m_0 + m_0 f$

$$f = \frac{1}{\sqrt{1-u^2/c^2}} - 1 \Rightarrow \frac{1}{(1-u^2/c^2)} = (f+1)^2 \Rightarrow 1-u^2/c^2 = \frac{1}{(f+1)^2}$$

$$u^2/c^2 = \frac{(f+1)^2 - 1}{(f+1)^2} \Rightarrow u/c = \frac{\sqrt{f^2 + 2f}}{(f+1)} \Rightarrow \boxed{u/c = \frac{\sqrt{f(f+2)}}{f+1}}$$

## Example 2-2

A high-speed interplanetary probe with a mass  $m = 50,000 \text{ kg}$  has been sent toward Pluto at a speed  $u = 0.8c$ . What is its momentum as measured by Mission Control on Earth? If, preparatory to landing on Pluto, the probe's speed is reduced to  $0.4c$ , by how much does its momentum change?

$$p_{0.8c} = \frac{m_0 u}{\sqrt{1-u^2/c^2}} = \frac{50 \times 10^3 (0.8c)}{\sqrt{1-(0.8)^2}} = \boxed{2.0 \times 10^{13} \text{ kg m/s}}$$

$$p_{0.8c} - p_{0.4c} = 2.0 \times 10^{13} - \frac{50 \times 10^3 (0.4c)}{\sqrt{1-(0.4)^2}} = 2.0 \times 10^{13} - 6.5 \times 10^{12} = \boxed{1.6 \times 10^{13} \text{ kg m/s}}$$

## Relativistic Energy

A particle is initially at rest in  $x_i$  with mass  $m_0$

$F$  moves it to  $x_f$

work

$$W = \int_{x_i}^{x_f} F dx$$

$$F = \frac{dp}{dt} = \frac{d(m(u)u)}{dt} = u \frac{dm(u)}{dt} + m(u) \frac{du}{dt}$$

$$W = \int_{x_i}^{x_f} \left( u \frac{dm(u)}{dt} + m(u) \frac{du}{dt} \right) dx$$

$$m(u) = \frac{m_0}{\sqrt{1-u^2/c^2}} \Rightarrow m^2(u) (1-u^2/c^2) = m_0^2$$

Let us call

the relativistic mass  $m(u) = m$

$$m^2 (1 - u^2/c^2) = m_0^2$$

$$m^2 c^2 - m^2 u^2 = m_0^2 c^2$$

$d/dt$

$$\hookrightarrow c^2 \frac{dm^2}{dt} - \frac{d(m^2 u^2)}{dt} = 0$$

$$2c^2 m \frac{dm}{dt} - 2m^2 u \frac{du}{dt} - 2u m^2 \frac{du}{dt} = 0$$

$$u^2 \frac{dm}{dt} + u m \frac{du}{dt} = c^2 \frac{dm}{dt} \Rightarrow u \frac{dm}{dt} + m \frac{du}{dt} = \frac{c^2}{u} \frac{dm}{dt} = c^2 \frac{dm}{dx} \frac{dx}{dt} = c^2 \frac{dm}{dx}$$

$$W = \int_{x_i}^{x_f} c^2 \frac{dm}{dx} dx = c^2 \int_{m_i}^{m_f} dm = c^2 (m_f - m_i) = \frac{m_0 c^2}{\sqrt{1-u^2/c^2}} - m_0 c^2$$

Classical law of energy conservation: total work = change in kinetic energy

$$K = \frac{m_0 c^2}{\sqrt{1-u^2/c^2}} - \underbrace{m_0 c^2}_{\substack{\text{rest} \\ \text{mass}}} \leftarrow \text{relativistic kinetic energy}$$

o) If  $u \ll c \Rightarrow (1 - u^2/c^2)^{-1/2} \approx 1 + \left[ \frac{1}{2} \left( 1 - \frac{u^2}{c^2} \right)^{-3/2} \right]_{\frac{u^2}{c^2} \rightarrow 0} \frac{u^2}{c^2} + \dots$

$$\approx 1 + \frac{1}{2} \frac{u^2}{c^2}$$

$$K \approx m_0 c^2 + m_0 c^2 \frac{1}{2} \frac{u^2}{c^2} - m_0 c^2 = \frac{1}{2} m_0 u^2 \leftarrow \text{classical kinetic energy}$$

Relativistic total energy (definition)

$$E = K + m_0 c^2 = \frac{m_0 c^2}{\sqrt{1-u^2/c^2}}$$

$$E = m c^2$$

$$\left( m = \frac{m_0}{\sqrt{1-u^2/c^2}} \right)$$

work done by a net force increases the energy of the system from the rest energy  $m_0 c^2$  to  $\frac{m_0 c^2}{\sqrt{1-u^2/c^2}}$

o) For  $u \ll c \Rightarrow E = \frac{1}{2} m_0 u^2 + m_0 c^2$

⚠ NOT in conflict with classical physics  
 Zero of energy is arbitrary so we can add this constant ( $m_0 c^2$ ) to it.

$$E = mc^2$$

$$E = \frac{m_0 c^2}{\sqrt{1 - u^2/c^2}}$$

It is common to express the masses of particles in energy units

$$\underline{1.0 \text{ eV}} = \underline{1.602 \times 10^{-19} \text{ C} \times 1.0 \text{ V}} = 1.602 \times 10^{-19} \text{ J}$$

amount  
of  
energy  
for  
an  
electron  
to  
accelerate  
through  
a potential  
difference of  
1 volt

Example: mass of electron at rest =  $\underline{9.11 \times 10^{-31} \text{ kg}}$

Its rest energy is:  $E = m_0 c^2 = 9.11 \times 10^{-31} \text{ kg} \cdot c^2 = 8.19 \times 10^{-14} \text{ J}$

$$E = \frac{8.19 \times 10^{-14} \text{ J}}{1.602 \times 10^{-19} \text{ J}} \cdot 1 \text{ eV} = 5.11 \times 10^5 \text{ eV} \Rightarrow E = 0.511 \text{ MeV} \quad \begin{array}{l} \text{rest energy} \\ \text{of the electron} \end{array}$$

$$\hookrightarrow m_0 = \frac{E}{c^2} = \underline{0.511 \text{ MeV}/c^2} \quad \begin{array}{l} \text{mass of} \\ \text{the electron} \\ \text{at rest} \end{array}$$

Example 2.5

Muon speed relative to Earth =  $0.998c$ . If its rest energy is  $105.7 \text{ MeV}$ , what will observers on Earth measure for its total energy? What will they measure for its mass?

$$E_{\text{rest}} = m_0 c^2 = 105.7 \text{ MeV} \Rightarrow E = \frac{m_0 c^2}{\sqrt{1 - u^2/c^2}} = \frac{105.7}{\sqrt{1 - (0.998c)^2/c^2}} = \underline{1670 \text{ MeV}} //$$

$$m = \frac{E}{c^2} = \underline{1670 \text{ MeV}/c^2} //$$

It is often convenient to have an expression for the total relativistic energy in terms of momentum

$$E^2 - m_0^2 c^4 =$$

$$m^2 c^4 - m_0^2 c^4 = m_0^2 c^4 \left( \frac{1}{1 - u^2/c^2} - 1 \right) = \frac{m_0^2 c^4 u^2/c^2}{1 - u^2/c^2}$$

$$= \frac{m_0^2 c^2 u^2}{1 - u^2/c^2} = c^2 p^2$$

$$\Rightarrow m^2 c^4 = c^2 p^2 + m_0^2 c^4$$

$$\boxed{E^2 = c^2 p^2 + m_0^2 c^4}$$

Example 2-9 A particular object is observed to move through the lab at high speed. Its total energy  $E = 4.5 \times 10^{17} \text{ J}$  and  $p_x = 3.8 \times 10^8 \text{ Kg m/s}$ ,  $p_y = 3.0 \times 10^8 \text{ Kg m/s}$ ,  $p_z = 3.0 \times 10^8 \text{ Kg m/s}$ . What is the object's rest mass?

$$m_0^2 = \frac{E^2}{c^4} - \frac{p^2}{c^2} = \left( \frac{4.5 \times 10^{17}}{c^2} \right)^2 - \left[ \left( \frac{3.8 \times 10^8}{c} \right)^2 + \left( \frac{3.0 \times 10^8}{c} \right)^2 + \left( \frac{3.0 \times 10^8}{c} \right)^2 \right]$$

$$\boxed{m_0 = 4.6 \text{ Kg}}$$

Example 2-10 The total energy of an  $e^-$  produced in a reaction is 2.40 MeV. Find the  $e^-$ 's momentum and speed. ( $m_0 = 9.11 \times 10^{-31} \text{ Kg}$ , rest energy = 0.511 MeV)

$$\bullet) p c = \sqrt{E^2 - m_0^2 c^4} = \sqrt{(2.40)^2 - (0.511)^2} \Rightarrow \boxed{p = 2.34 \text{ MeV}/c}$$

$$\bullet) E = \frac{m_0 c^2}{\sqrt{1 - u^2/c^2}} \quad \text{and} \quad p = \frac{m_0}{\sqrt{1 - u^2/c^2}} u \Rightarrow E = \frac{p c^2}{u} \Rightarrow$$

$$\Rightarrow \boxed{u = \frac{p c}{E}} \Rightarrow u = \frac{2.34}{2.40} c \Rightarrow \boxed{u = 0.975 c}$$

## Massless Particles

$m_0 c^2 = 0 \rightarrow$  idea of zero rest mass has no analog in classical physics

$$\Downarrow$$

$$\boxed{E = pc} \quad \text{and from } \frac{u}{c} = \frac{pc}{E} \Rightarrow \boxed{u = c}$$

A ~~massless~~ particle whose mass is zero moves at the speed of light

(photon, gluon, graviton)

## Creation and Annihilation of particles

Equivalence of mass and energy  $\Rightarrow$

- ) particles combine with antiparticles and masses of both convert to energy
- ) mass can be created from energy

# Mass / Energy Conversion

→) mass of an object  $\neq$  mass of its constituent parts

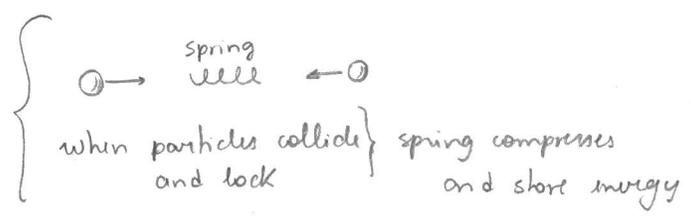
o) for atom, difference between its mass and the mass of its nucleus and  $e^-$  is usually small

BUT

o) difference between the mass of a nucleus and its constituent parts (p,n) is important

## In relativity

Internal energy of a system is greater than  $2m$  by  $\frac{E_k}{c^2}$   
additional energy



stored by the object

## Fission of a $^{235}\text{U}$ nucleus

the energy released as kinetic energy of the fission fragments is a fraction of the rest mass of the original nucleus

$^{235}\text{U}$  is excited by the capture of a  $n$  (neutron)

$^{236}\text{U}$  is unstable and splits into two nuclei, releases energy

AND emits several neutrons

then can produce fission in other nuclei

} chain reaction



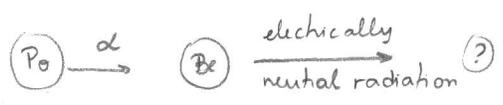
# Particle Physics

- 1897 - Thomson - electron (Nobel Prize in Physics 1906)
- 1905 - Einstein - photon (Nobel Prize in Physics 1921)
- 1911 - Rutherford - atomic nucleus - hydrogen → proton (Nobel in Chemistry 1908 ↓ radioactivity)  
(Thomson's student) (lightest nucleus)
- periodic table: atomic mass increases more-rapid than nuclear charge
- 1932 - Chadwick - neutron (Nobel in Physics 1935)  
(Rutherford's student)

## ! Marie Curie

theory of radioactivity → Nobel Prize in Physics 1903 (Becquerel, Pierre Curie, Marie Curie)  
 radium }  
 polonium } Nobel Prize in Chemistry 1911 (Marie Curie)

a) Walter Bothe and Herbert Becker (1928)



bombarded beryllium with α particles emitted from polonium. Be then gave off a penetrating electrically neutral radiation.  
 used in Rutherford's experiment (nucleus of He)

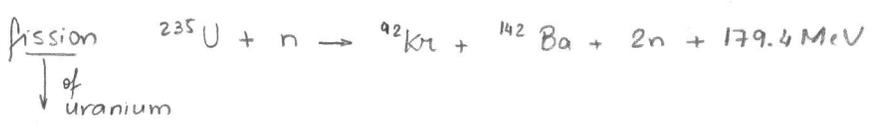
## ! Irene Joliot-Curie

b) Irene Joliot-Curie and Frederic Joliot-Curie (1932) → Nobel Prize in Chemistry 1935 } for artificial radioactivity NOT this experiment

continued Bothe's experiment  
 this radiation emitted protons from paraffin (hydrogen atoms) { analogy with Compton effect, in which x-ray photons in metal eject e<sup>-</sup>, they concluded this radiation was γ-rays ejecting protons

1) Chadwick repeated the experiment (Nobel Prize in Physics 1935)  
 bombarded not only H in paraffin  
 but also He, N and other elements  
 proved beryllium emissions had mass ~ mass of proton  
neutron

Neutron - impt role in nuclear reaction - easier to penetrate nucleus - no Coulomb repulsion



1938 by Otto Hahn and Fritz Strassmann

↑ (Rutherford's student)  
 only he got the Nobel Prize 1944

↓ experiments started with Lise Meitner who gave the interpretations from Sweden

For a brief time:

$e^-$ ,  $p$ ,  $n$ , photons  
 elementary particles

Side Note  
 ⚠ Maria Goeppert-Mayer  
 Nobel Prize in Physics 1963  
 shell model  
 worked for many years with no salary

But soon with particle accelerators producing greater and greater energies an enormous amount of particles were discovered

which are the elementary particles?

Ⓟ and Ⓝ - known as nucleons are not

↓  
 scientists tried to put together the pieces of the puzzle in what they called Standard model

Side note  
 1) Rutherford was Thomson's student  
 2) Bohr, Chadwick, Geiger, Hahn, etc. were Rutherford's students

Elementary Particles → (Standard Model)

they are either bosons or fermions depending on their spin

↑  
integer spin  
(particles associated with fundamental forces)

↑  
half-integer spin { Pauli exclusion principle  
(particles associated with matter)

⇒ Fermions: (Table 12-1)

<u>leptons</u> (spin 1/2)		
		(charge)
electron	e	-1
electron neutrino	$\nu_e$	0
muon	$\mu$	-1
muon neutrino	$\nu_\mu$	0
tau	$\tau$	-1
tau neutrino	$\nu_\tau$	0

<u>quarks</u> (spin 1/2)		
		(charge)
up	u	2/3
down	d	-1/3
charm	c	2/3
strange	s	-1/3
top	t	2/3
bottom	b	-1/3

flavors

strength	range (m)		
1	$\infty$	gravitational force	✓
$10^{36}$	$\infty$	electromagnetic force	✓
$10^{25}$	$10^{-18}$	weak interaction	✓
$10^{38}$	$10^{-15}$	strong interaction	X

{ associated with } Ex. beta decay  
{ radioactivity }  
{ attractive force that holds nucleons together }

→ Quarks and antiquarks have never been detected as free particles

↳ evidence for their existence:

high energy electrons are deflected by protons through large angles

→ Analogous to electric charge, quarks have COLOR CHARGE: red, blue, green

Ex: there are 3 different u quarks:  $u_r, u_b, u_g$   
(3 possible values)

Every particle has a corresponding antiparticle with the same mass but opposite electric charge } QED  
quantum electrodynamics

- photon is its own antiparticle
- particle + antiparticle may annihilate
- antineutron is made of antiquarks
- antiproton + positron → antihydrogen atom

Feynman, Schwinger, Tomonaga  
Nobel Prize 1965

→ why is the universe almost entirely made of matter and not antimatter?

↳ ongoing research  
CP violation helps understanding it (Nobel Prize 1980 James Cronin Val Fitch)  
charge parity

Therefore,

leptons → anti leptons  
quarks → antiquarks

Hadrons → bound states of the quarks and antiquarks

Baryons

(3 quarks)

- proton: uud
- neutron: udd
- $\Lambda, \Sigma,$

Mesons

(quark + antiquark)

- pion  $\pi^+ (u \bar{d}), \pi^0 (d \bar{d}, u \bar{u}), \pi^- (d \bar{u})$
- Kaon
- eta

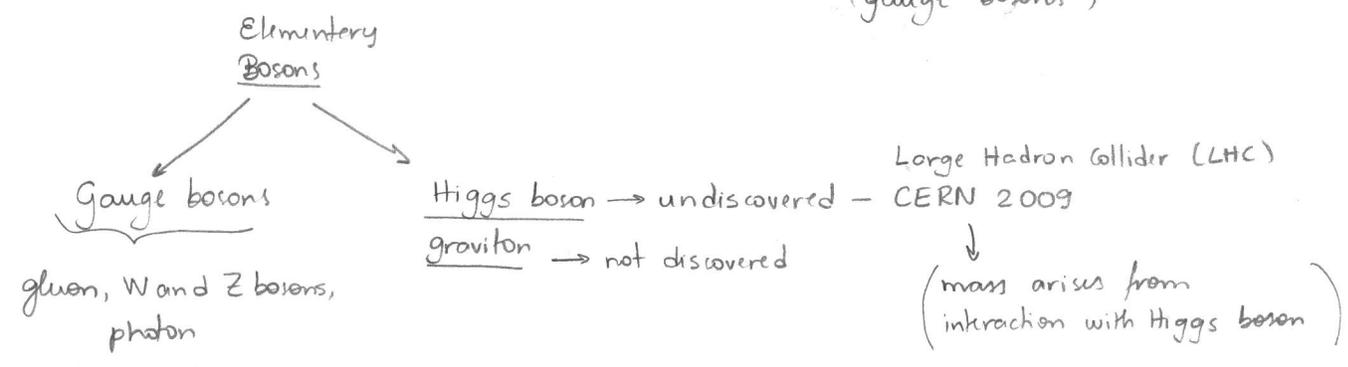
spin 0  
Yukawa predicted their existence  
Nobel in Physics (1949)  
was thought to be carriers of strong interaction

Pions were thought to be strong force mediators  
Strong force mediators: gluon

⇒ Bosons → mediators of fundamental forces  
↓  
process by which elementary particles interact with each other

interaction - described as a physical field mediated by exchange of virtual particles } detectable as forces not as real particles

(gauge bosons)



Interaction	current theory	mediators	strength	range (m)
strong	quantum chromodyn QCD	gluons	$10^{38}$	$10^{-15}$
electromagnetic	quantum electrodyn QED	photons	$10^{36}$	$\infty$
weak	electroweak theory	W and Z bosons	$10^{25}$	$10^{-18}$
gravitation	general relativity	gravitons (not discovered)	1	$\infty$

→ Electromagnetic interaction

↳ due to electric charge

Every charge is continually emitting and absorbing VIRTUAL photons  
(not directly observed)

Charge can emit a virtual photon of energy  $h\nu$  without violating cons. of energy or momentum provided it exists for no longer than  $\Delta t = \hbar / \Delta E$

Virtual photon can travel

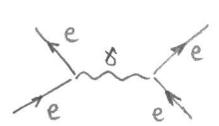
$$R = c\Delta t = c\hbar / \Delta E = c\hbar / h\nu = \frac{c\hbar \lambda}{2\pi h c} = \frac{\lambda}{2\pi}$$

and be absorbed by 2nd charge

which can emit virtual photon which is absorbed by 1st charge, ...

this exchange of virtual photons is the origin of the Coulomb force between the two charges

Feynman diagram



Coulomb repulsion between two  $e^-$

→ Strong interaction → all hadrons interact via strong interaction

↳ due to color charge (leptons don't have color charge)

↳ mediators: gluons

→ Weak interaction → leptons and quarks participate

↳ mediators:  $W^+$ ,  $W^-$ ,  $Z^0$  bosons ( $s=1$ )

interactions mediated by  $W^\pm$  change quark flavor

Flavor - is a quantum number



↳ conserved number associated with conserved quantity

Ex: energy conservation → principal quantum number

there are quantum numbers associated with  $\left\{ \begin{array}{l} \text{angular momentum} \\ \text{spin} \end{array} \right.$  (n)

leptons: lepton number (L=1)  
quarks: baryon number (B=1/3)  
electric charge

always conserved

↓ example:  
can know and explain

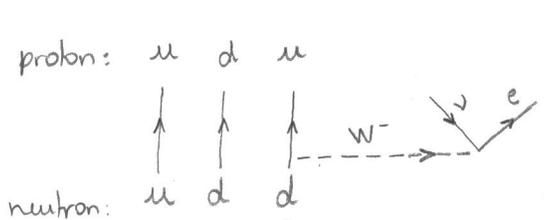
which particles may or not be created from collisions and in decays

other quark flavors  
↓  
strangeness,  
charm,  
bottom, etc

conserved by strong interaction  
violated by weak interaction

Free nucleon decay → occurs via weak interaction because  $\left\{ \begin{array}{l} \text{strong force and} \\ \text{electromagnetism} \\ \text{cannot change flavor} \end{array} \right.$

$$n \rightarrow p + \bar{\nu}_e + e^-$$



↳ emits a  $W^-$  and changes to u quark and  $W^-$  decays to  $e^-$  and  $\bar{\nu}_e$

What conservation laws (if any) are violated by the following reactions?



- ✓ no leptons - no prob. with cons. of lepton number
- ✓ net charge is zero before and after - charge is conserved
- ✓ baryon number is conserved,  $B=+1$  before and after

X BUT energy is NOT conserved

rest energy of proton (938.3 MeV) + pion (139.6 MeV) > neutron (939.6 MeV)



- ✓ no leptons
- ✓ charge is zero
- X does NOT conserve baryon number

$B(\Lambda^0) = +1$      $B(\bar{p}) = -1$      $B(\pi^+) = 0$



- ✓ no baryons
- ✓ charge (-1)
- ✓ rest energy of  $\pi^-$  (139.6 MeV) >  $\mu^-$  (105.7 MeV) +  $\bar{\nu}_\mu$

OK → difference appears as kinetic energy of the muon and neutrino

✓ lepton number

$L(\pi^-) = 0$                        $L(\mu^-) = 1$                        $L(\bar{\nu}_\mu) = -1$   
     ↑  
    not lepton

0//

a) Main name behind conservation laws and symmetries

! Emmy Noether



Theorem 1918: every conservation law is a consequence of a symmetry

! Chien-Shiung Wu

↳ violation of parity

Chun Nin Yang and Tsung-Dao Lee } → Nobel in Physics 1957

Check also: { Sophie Germain (1776-1831)  
Émilie du Châtelet (1706-1749)  
Hypatia (370?-415)

www.agnesscott.edu/Lriddle/women/women.htm